



MyGinnieMae

U.S. Department of Housing and Urban
Development (HUD)

Ginnie Mae, Office of Security Operations

**Document Custodian Transfer Request
Issuer & Document Custodian User Manual**

Version 1.8



Application Details

Application Information	Description
Application Name	Document Custodian Transfer Request
Application Acronym	DCTR
Application Developer	The Bank of New York (BNY)
Ginnie Mae Director, Approver	Victoria Vargas
Version/Release Number	1.8

Document History

Version	Date of the Document	Author	Entity (Company or Department Author Represents)	Revision Description
1.0	07-17-2024	Anita Pathak	BNY	Initial Draft
1.1	08-08-2024	Chris Amann	BNY	Edited and formatted document
1.2	08-27-2024	Anita Pathak	BNY	Addressed Ops review feedback
1.3	09-10-2024	Anita Pathak	BNY	Final BNY Approval
1.4	01-03-2025	Anita Pathak	BNY	Updated screen shots throughout the document to reflect new UX
1.5	02-26-2025	Morton	Ampcus	Review
1.6	04-02-2025	Anita Pathak	BNY	Final Version
1.7	06-23-2025	Anita Pathak	BNY	Updated Document Custodian section & added Relinquishing Custodian section.
1.7	06-30-2025	Anita Pathak	BNY	BNY Approved
1.8	07-11-2025	Anita Pathak	BNY	Addressed GNMA feedback



Table of Contents

1	INTRODUCTION	7
	Application Overview	8
	Features.....	8
	Data Flows	8
	Authorized Use / Permissions	9
2	SYSTEM PREREQUISITES.....	9
3	GETTING STARTED	9
4	USING THE APPLICATION.....	10
	Issuer	11
	Document Custodian Transfer Request Summary	11
	Filters Type	12
	Request New Transfer Button	15
	Requests Summary	15
	Filters	16
	Request Ellipses.....	16
	Import Pools.....	18
	Export Request	19
	Portal Notifications and Emails	20
	Declined / Expired Requests	21
	Saving Requests.....	21
	Create a New Transfer / Merger	22
	Complete Portfolio Document Custodian Transfer	22
	Complete Portfolio Document Custodian Merger	30
	Partial Portfolio Document Custodian Transfer.....	37
	Partial Portfolio Document Custodian Merger	44



Document Custodian	51
Features.....	52
Functional Role	52
New/Relinquishing Custodian.....	53
New Custodian	53
Relinquishing Custodian	65
5 REPORTING.....	72
Document Custodian Transfer Request Documents/Report	72
6 GETTING HELP	73
Field-Level Validation.....	73
Validation Fatal Error	75
Date Selection Limitations	76
Browser Back Button.....	76
Self-Help Tools	77
MyGinnieMae Portal Dictionary	78
Application Acronyms and Abbreviations.....	78



LIST OF FIGURES

Figure 1: Document Custodian Transfer Request Tab.....	10
Figure 2: Document Custodian Transfer Request Summary	11
Figure 3: Filter	12
Figure 4: Request New Transfer Button	15
Figure 5: Filters	16
Figure 6: Request Ellipses	17
Figure 7: Import Pools	19
Figure 8: Export Pools	20
Figure 9: Portal Notifications	21
Figure 10: Request New Transfer/Merger.....	22
Figure 11: Request Data Entry screen	23
Figure 12: Transfer/Merger Option	23
Figure 13: Transfer/Merger & Transfer Detail.....	24
Figure 14: Selected Custodian(s)	25
Figure 15: Custodian(s) List.....	25
Figure 16: Adding Pools	26
Figure 17: Selected Custodian(s) & Custodian (s) on Records.....	27
Figure 18: Validating a Request	28
Figure 19: Submitting a Request.....	28
Figure 20: Acknowledgement Pop Up	29
Figure 21: Submitted Request in Posted Status	30
Figure 22: Request Data Entry Screen	30
Figure 23: Transfer/Merger Option	31
Figure 24: Transfer/Merger & Transfer Detail.....	32
Figure 25: Custodian(s) List.....	32
Figure 26: Adding Pools	34
Figure 27: Selected Custodian(s) & Custodian (s) on Records.....	35



Figure 28: Acknowledgement Pop Up	36
Figure 29: Submitted Request in Posted Status	37
Figure 30: Request Data entry screen	38
Figure 31: Transfer/Merger Option	38
Figure 32: Transfer/Merger & Transfer Detail Sections	39
Figure 33: Selected Custodian(s)	40
Figure 34: Custodian(s) List.....	40
Figure 35: Select Pool(s)	41
Figure 36: Selected Custodian(s) & Custodian(s) on Record	42
Figure 37: Summary of Partial Portfolio Document Custodian Transfer Acknowledgment.....	43
Figure 38: Recall Request.....	44
Figure 39: Request Data entry screen	45
Figure 40: Transfer/Merger Option	45
Figure 41: Partial Portfolio Document Custodian Merger Data Entry	46
Figure 42: Custodian Info.....	46
Figure 43: Edit Document Custodians	47
Figure 44: Adding Pools	48
Figure 45: Saving a Request.....	49
Figure 46: Acknowledge Popup for a Request Submission	50
Figure 47: Request Posted Status	50
Figure 48: Recall Request.....	51
Figure 49: Document Custodian Transfer Requests	53
Figure 50: Document Custodian Transfer Request Tab.....	53
Figure 51: Document Custodian Transfer Request Summary	54
Figure 52: Filter	55
Figure 52: Request Summary.....	57
Figure 53: Filter	58
Figure 54: Request Ellipses	59



Figure 55: Acknowledge New Transfer/Merger	60
Figure 56: Request Acknowledge	60
Figure 57: Document Custodian Acknowledgement	61
Figure 58: Document Custodian Approved Request	62
Figure 59: Document Custodian Ellipses	62
Figure 60: Document Custodian Decline	63
Figure 61: Document Custodian Decline Acknowledgement	64
Figure 62: Document Custodian Declined Request	65
Figure 63: Document Custodian Transfer Request Summary	66
Figure 64: Filter	67
Figure 65: Request Summary	70
Figure 66: Filter	71
Figure 67: Request Ellipses	71
Figure 68 Field-Level Validation Examples	74
Figure 69: Validation Errors	75
Figure 70: Date Calendar Limitation	76
Figure 71: Browser Back Button	77
Figure 72: Application Back Button	77

LIST OF TABLES

Table 3.1: User Access	10
Table 4.1: Document Custodian Transfer Request Filters	15
Table 4.2: Document Custodian Transfer Request Summary Columns	18
Table 4.3: Ellipse Menu Options	25
Table 4.4: Document Custodian Transfer Request Transfer Details Fields	75
Table 4.5: Document Custodian Functional Roles	53
Table 4.6: Document Custodian Transfer Request Filters	58
Table 4.7: Document Custodian Transfer Request Summary Columns	58
Table 4.8: Document Custodian Transfer Request Filters	70
Table 4.9: Document Custodian Transfer Request Summary Columns	70



1 INTRODUCTION

Application Overview

A core Ginnie Mae function is to allow Issuers to transfer/merge pools from one or multiple Document Custodians to a New Document Custodian. The Document Custodian Transfer Request application provides an interface to those processes and procedures in MyGinnieMae (MGM).

Features

The following features are available to users:

- Document Custodian Transfer Request Summary
- Creating a New Transfer/Merger Request
- Import and Export pools from each Document Custodian
- Save, Delete, Validate, Modify, Submit, and Recall requests
- Request Summary and Approval Letter
- Enhanced Issuer Profile Report

Data Flows

The following data flow reflects the different operational activities for Document Custodian Transfer workflow:

- Issuers:
 - Create a new Transfer/Merger request.
 - Save the request.
 - Validate the request.
 - Submit the pool for Document Custodian acknowledgement, approval, or decline.
- DC:
 - Acknowledges the request.
 - The request is submitted to the Account Executive for approval.
- AE:
 - Approves the request

Note that the transfer of the pools is completed on the effective transfer date.

- Issuers:
 - Can delete a request if not submitted for Document Custodian acknowledgement/approval.
 - Can recall pools that have not been approved or acknowledged by a Document Custodian.
- Issuers, Document Custodians, and Account Executives:
 - Receive notifications via the portal and/or emails for request processing.
- Ginnie Mae Operations will have a view-only role for all requests.



Authorized Use / Permissions

Please refer to the [My Ginnie Mae Portal – Getting Started Manual](#) for authorization of user and assignment of their permissions.

2 SYSTEM PREREQUISITES

The DCTR application is on the current web-based MyGinnieMae Portal. No new or enhanced hardware is required to support the DCTR application. The Document Custodian acknowledgment/approval processes will be performed by DCs in MGM.

Please refer to the [My Ginnie Mae Portal – Getting Started Manual](#) for the system prerequisites for accessing MyGinnieMae and its associated applications.

3 GETTING STARTED

Upon logging into [MyGinnieMae](#), the DCTR application is accessed via a tab on MGM. Before being granted access to the application, the user must complete the MyGinnieMae Portal registration process. Privileged users called Organization Administrators (formerly known as Security Officers and Enrollment Administrators) facilitate the registration and access provisioning process to create user accounts and assign functional roles within each organization.

A functional role is a system access profile based on the business activities used to ensure end-users have the appropriate level of access to be able to perform their job functions. There are six functional roles (Issuers) associated with DCTR.

Role	User	Description
SF-Collateral Management Basic User	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the Single-Family (SF) program type, add Custodians/Pools, and perform actions such as saving, validating, and modifying an existing request.
SF-Collateral Management Authorized Signer	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the SF program type, including adding Custodians/Pools, saving, validating, modifying, submitting, and recalling the request.
MF-Transfers Basic User	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the Multifamily (MF) program type, including adding or removing custodians and pools, as well as saving, validating, and modifying an existing request.



Role	User	Description
MF-Transfers Authorized Signer	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the MF program type, including adding Custodians/Pools, saving, validating, modifying, submitting, and recalling the request.
HECM-Collateral Management Basic User	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the Home Equity Conversion Mortgage (HECM) program type, including adding Custodians/Pools, saving, validating, and modifying an existing request.
HECM-Collateral Management Authorized Signer	Issuers	Users with this functional role can initiate a Document Custodian Transfer request for the HECM program type, including adding Custodians/Pools, saving, validating, modifying, submitting, and recalling the request.

Table 0 User Access

Please refer to the [My Ginnie Mae Portal – Getting Started Manual](#) for access and log in instructions for MyGinnieMae and associated applications.

4 USING THE APPLICATION

To get started:

1. Log into [MyGinnieMae](#).
2. Select **Document Custodian Transfer Request** under the **Other Applications** heading from the **Tools** menu.



Figure 1: Document Custodian Transfer Request Tab



Issuer

Document Custodian Transfer Request Summary

The following screen displays a summary of Document Custodian Transfer Requests. The Issuer user can filter or search for an existing request, create a new request, export, validate, delete, submit, recall, download reports from the summary screen.

Document Custodian Transfer Request Summary

Request New Transfer

Request Number

New Custodian

Request Type

Effective Transfer Date
MM/DD/YYYY - MM/DD/YYYY

Enter Request Number

-Select-

-Select-

-

Status

-Select-

Clear All Filters

Apply Filters

Showing 1 - 3 out of 320< First< Previous1Next>Last>

Total: 3 Selected: 0

<input type="checkbox"/>	Request Number	New Custodian ID	Request Type	Effective Transfer Date	Status	Business Rules	Actions
<input type="checkbox"/>	2626	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed		
<input type="checkbox"/>	2591	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/26/2024	Completed		
<input type="checkbox"/>	1062	000545 - ALLEGIANCE BANK	Complete Portfolio Document Custodian Merger	08/13/2024	Completed		

Showing 1 - 3 out of 320< First< Previous1Next>Last>

Figure 2: Document Custodian Transfer Request Summary



Filters Type

The top of the screen displays several fields that can be used to filter the number of records shown.


The screenshot shows a filter interface with the following components:

- Request Number:** A text input field with the placeholder "Enter Request Number".
- New Custodian:** A dropdown menu.
- Request Type:** A dropdown menu.
- Effective Transfer Date:** A date range selector with the format "MM/DD/YYYY - MM/DD/YYYY", a "Select Range" button, and a calendar icon.
- Status:** A dropdown menu.
- Buttons:** "Clear All Filters" and "Apply Filters".

Figure 3: Filter

Filter Definitions

- To use data entry fields, enter the required data.
- To use the drop-down fields:
 1. Click the checkbox to select an item.
 2. Click the checked box again to unselect the item.Once checked, the number of items selected appears in the drop-down field.
- To use the transfer date field:

Enter a data in MM/DD/YYYY format.
OR
Click the date icon () to select a date from the calendar.

Important: This is a Transfer Effective Date range field. You must enter/select a From and To date.



Filters	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
New Custodians	Custodian Name and ID.
Request Type	<p>Document Custodian Transfer Request Type. There are four request types available:</p> <ol style="list-style-type: none"> 1. Complete Portfolio Document Custodian Merger: Complete Portfolio Document Custodian Merger is a request to merge the Issuer's entire Ginnie Mae MBS portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the newly designated Document Custodian. 2. Partial Portfolio Document Custodian Merger: Partial Portfolio Document Custodian Merger a request to merge selected pools/loan packages of the Issuer's Ginnie Mae MBS Portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the new designated Document Custodian 3. Complete Portfolio Document Custodian Transfer: Complete Portfolio Document Custodian Transfer is a request to transfer the Issuer's entire Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a new Document Custodian. 4. Partial Portfolio Document Custodian Transfer: Partial Portfolio Document Custodian Transfer is a request to transfer selected pools/loan packages from the Issuer's Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a new Document Custodian.
Transfer Effective Date	Transfer Effective Date range. Users can search for requests for a specific transfer effective date range.
Status	<p>All Transfer Requests Statuses are available on the drop-down field.</p> <ol style="list-style-type: none"> 1. Approved by Ginnie Mae: A Transfer/Merger request approved/acknowledged by the AE user. 2. Draft: A Transfer/Merger request created by Issuers but has not submitted to DC for approval/acknowledgement. 3. Posted: A Transfer /Merger request submitted by an Issuer for DC Approval. 4. Declined by Custodian: A Transfer/Merger declined by a Document Custodian.



Filters	Definition
	<p>5. Declined by Ginnie Mae: A Transfer/Merger declined by an Account Executive.</p> <p>6. Pending Ginnie Mae Approval: A Transfer /Merger request submitted by an Issuer for DC Approval.</p> <p>7. Completed: Pools will be transferred from one or multiple DCs to a new DC on the Transfer Effective Date.</p> <p>8. Rejected by Ginnie Mae System: A request, or all pools within a request, are rejected by the Mainframe system at the time of request completion.</p>
Clear Filters (Button)	Click this button to remove all filters.
Apply Filter (Button)	Click this button to apply filters after selecting one or multiple search criteria.

Table 4.1 Document Custodian Transfer Request Filters



Request New Transfer Button

To request a new transfer:

1. Click the **REQUEST NEW TRANSFER** button.

The Create New Transfer screen displays where you can enter required information and create and save requests.

Document Custodian Transfer Request Summary Request New Transfer

Request Number: New Custodian: Request Type: Effective Transfer Date:

Status: Clear All Filters Apply Filters

Showing 1 - 3 out of 3 First Previous 1 Next Last

Total: 3 Selected: 0

<input type="checkbox"/>	Request Number	New Custodian ID	Request Type	Effective Transfer Date	Status	Business Rules	Actions
<input type="checkbox"/>	2626	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed	✓	<input type="button" value="⋮"/>
<input type="checkbox"/>	2591	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/26/2024	Completed	✓	<input type="button" value="⋮"/>
<input type="checkbox"/>	1062	000545 - ALLEGIANCE BANK	Complete Portfolio Document Custodian	08/13/2024	Completed	✓	<input type="button" value="⋮"/>

Figure 4: Request New Transfer Button

Requests Summary

The Requests Summary sections display all requests created by the Issuers. The Issuer can open individual requests by clicking on each request number. Each column can be sorted in ascending or descending order. The columns are defined as follows:

Columns are defined as follows:

Field	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
Issuer	Issuer ID and Name.
New Custodian	Document Custodian ID and name of new custodians.



Field	Definition
Effective Transfer Date	Dates on which the request will be completed.
Status	Status for each request.
Business Rules	Request Business Rules validation status.
Actions	Actions that Issuers can take as per request. Refer to the Request Ellipses section for more information.

Table 0 Document Custodian Transfer Request Summary Columns

Filters

Issuers can filter or search for specific requests by selecting the following criteria:

- Request Number
- New Custodian
- Request Type
- Effective Transfer Date
- Status


After selecting the desired criteria, click the **Apply Filters** button to execute the search.

The screenshot shows a filter interface with the following elements:

- Request Number:** A text input field with the placeholder "Enter Request Number".
- New Custodian:** A dropdown menu.
- Request Type:** A dropdown menu.
- Effective Transfer Date:** A date range selector with the format "MM/DD/YYYY - MM/DD/YYYY" and a calendar icon.
- Status:** A dropdown menu.
- Buttons:** "Clear All Filters" and "Apply Filters".

Figure 5: Filters

Request Ellipses

The **Ellipses**  are located under the action column. An Issuer can perform actions such as deleting, validate, submit, recall, view documents, etc. The available actions depend on the status of the request. For instance, if a request is in posted status, the Issuer can only recall the request or view associated documents. However, if the request is in draft status, the Issuer can validate, delete, submit, or view associated documents.



Total: 5 Selected: 0							
<input type="checkbox"/>	Request Number ↓	New Custodian ID ↑	Request Type ↑	Effective Transfer ↑ Date	Status ↑	Business Rules	Actions
<input type="checkbox"/>	1726	000570 - Merchants Bank of Indiana	Partial Portfolio Document Custodian Transfer	Delete	Export	Submit	Validate Docs ⓘ

Figure 6: Request Ellipses

The actions that an Issuer can take, based on the request status, are as follows:

Request Status	Actions from Ellipses
Draft	Submit, Delete, Validate, Docs, Export
Posted	Recall, Docs, Export
Pending Ginnie Mae Approval	Docs, Export
Approved by Ginnie Mae	Docs, Export
Declined by Custodian	Doc, Export
Declined by Ginnie Mae	Doc, Export
Completed	Docs, Export
Rejected by Ginnie Mae System	Doc, Export

Table 0 Ellipse Menu Options



Import Pools

When adding pools for a Document Custodian in a request, you can use the import feature to upload an external flat file that contains the list of pools to be included for the transfer or merger. See the import template file.

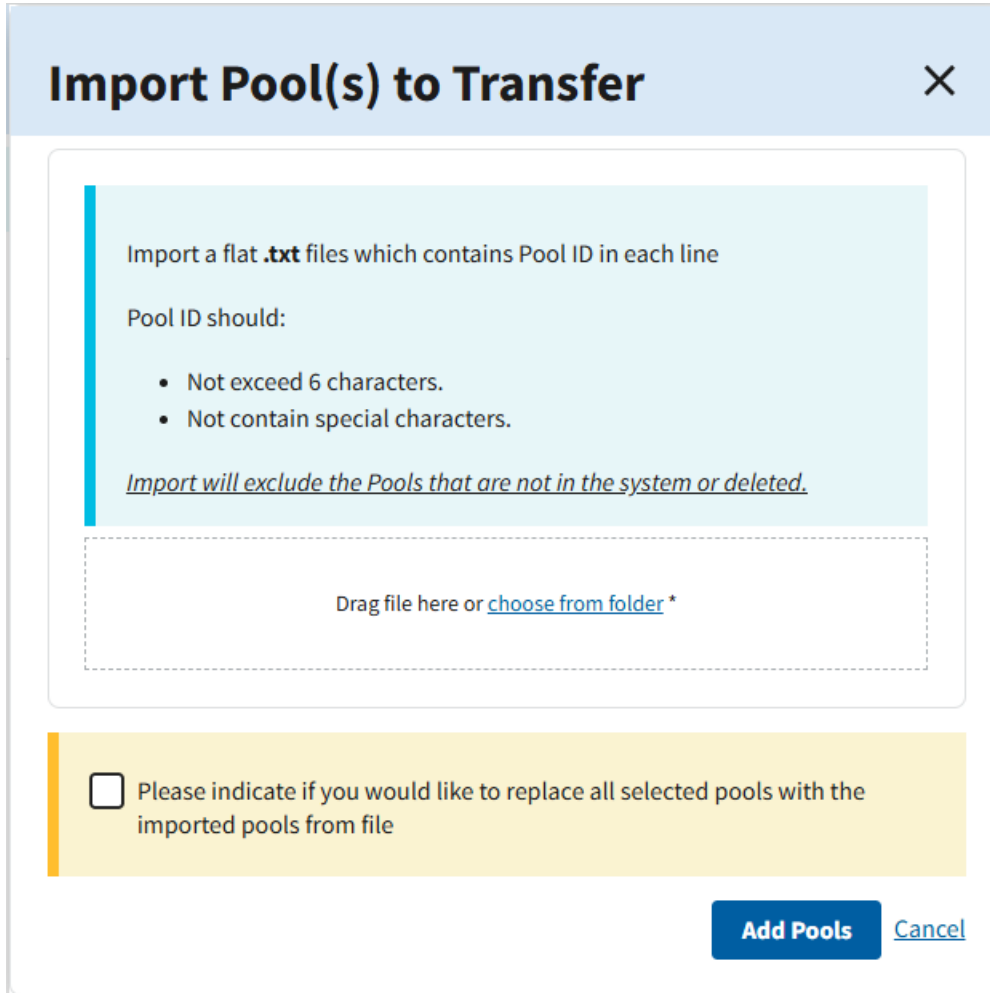
Import Flat File Template



DCTM_Layout_Samples.zip

To import pools:

1. Create a new request.
Refer to the section in this guide for the type of request you want to add.
2. Add Custodian(s) as required.
3. Edit the Custodian to add pools.
4. Click the **Actions** button in the **Selected Pool(s) to Transfer** section.
5. Select **Import Pool(s) to Transfer** from the **Actions** menu.



Import Pool(s) to Transfer X

Import a flat .txt files which contains Pool ID in each line

Pool ID should:

- Not exceed 6 characters.
- Not contain special characters.

Import will exclude the Pools that are not in the system or deleted.

Drag file here or [choose from folder](#) *

☐ Please indicate if you would like to replace all selected pools with the imported pools from file

Add Pools [Cancel](#)

Figure 7: Import Pools

Export Request

An Issuer or DC can export a request or multiple requests using the Export feature. For a single request export:

1. Click the **Ellipses** in the **Action** column.
2. Click the **Export** button.

The exported item will be saved to the **Downloads** folder of your computer.

For a multiple request export:

1. Click the checkbox in front of the requests you want to export.
Note: At least 2 requests must be selected.
2. Click the **Export** button.

A window displays listing the requests you selected.



3. Click the **Export** button to export the requests.

OR

Click the **Cancel** button to cancel the request.

Total: 5 Selected: 0									
<input type="checkbox"/>	Request Number ↓	New Custodian ID ↓	Request Type ↓	Effective Transfer ↑ Date	Status ↓	Business Rules	Actions		
<input type="checkbox"/>	1726	000570 - Merchants Bank of Indiana	Partial Portfolio Document Custodian Transfer				Delete	Export	Submit Validate Docs ⋮

Figure 8: Export Pools

Export File and File Layout Details: The export file layout adheres to the existing GinnieNET DCTR files layout. The operations user will have access to the same layout as the Issuer. Similarly, the Account Executive (AE) user will use the same layout as the Document Custodian (DC). It is important to note that exports for both the AE and DC will exclude any retained pools. For further information and examples of the file layouts, please open the following attached document.



DCTR_ExportLayoutFiles.zip (Command Line)

Portal Notifications and Emails

Issuers, DCs, and AEs will get portal notifications during the request processing. The notifications can be accessed by clicking on the **Mail** icon then viewing the notifications related to requests.



Document Custodian Transfer Request Summary

Request Number: Enter Request Number
New Custodian: -Select-
Request Type: -Select-
Status: -Select-
Clear All Filters Apply Filters

Showing 1 - 3 out of 3

Total: 3 Selected: 0

Request Number	New Custodian ID	Request Type	Effective Transfer Date	Status	Business Rules	Actions
2626	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed	✓	
2591	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/26/2024	Completed	✓	
1062	000545 - ALLEGIANCE BANK	Complete Portfolio Document Custodian Merger	08/13/2024	Completed	✓	

Figure 9: Portal Notifications

Issuers, DCs, and AEs will receive emails during the processing of Document Custodian Transfer Requests.

Declined / Expired Requests

If a Transfer or Merger request is declined by either the DC or the AE, the Issuer must revise and save the request as a draft to continue working on it. The same request may then be modified, resubmitted, or deleted as required.

Saving Requests

Users should save their request and all related data or take necessary actions before leaving the request screen. Additionally, it is important to save the request prior to editing pools in the request.



Create a New Transfer / Merger

To create a Transfer/Merger request:

1. Click the **Request New Transfer** from Request Summary screen.

The Create New Request Data Entry screen displays.

Document Custodian Transfer Request Summary

Request New Transfer

Request Number
Enter Request Number

New Custodian
-Select-

Request Type
-Select-

Effective Transfer Date
MM/DD/YYYY - MM/DD/YYYY
-

Status
-Select-

Clear All Filters

Apply Filters

Showing 1 - 3 out of 3 20 < First < Previous 1 Next > Last >

Total: 3 Selected: 0

<input type="checkbox"/>	Request Number	New Custodian ID	Request Type	Effective Transfer Date	Status	Business Rules	Actions
<input type="checkbox"/>	2626	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed	✓	...
<input type="checkbox"/>	2591	000545 - ALLEGIANCE BANK	Partial Portfolio Document Custodian Transfer	12/26/2024	Completed	✓	...
<input type="checkbox"/>	1062	000545 - ALLEGIANCE BANK	Complete Portfolio Document Custodian	08/13/2024	Completed	✓	...

Figure 10: Request New Transfer/Merger

Complete Portfolio Document Custodian Transfer

A Complete Portfolio Document Custodian Transfer is a request to transfer the Issuer's entire Ginnie Mae MBS portfolio from one or more active Document Custodians to a New Document Custodian.

To transfer a complete portfolio transfer:

1. Click the **Request New Transfer** from Request Summary screen.

The Transfer/Merger screen displays.

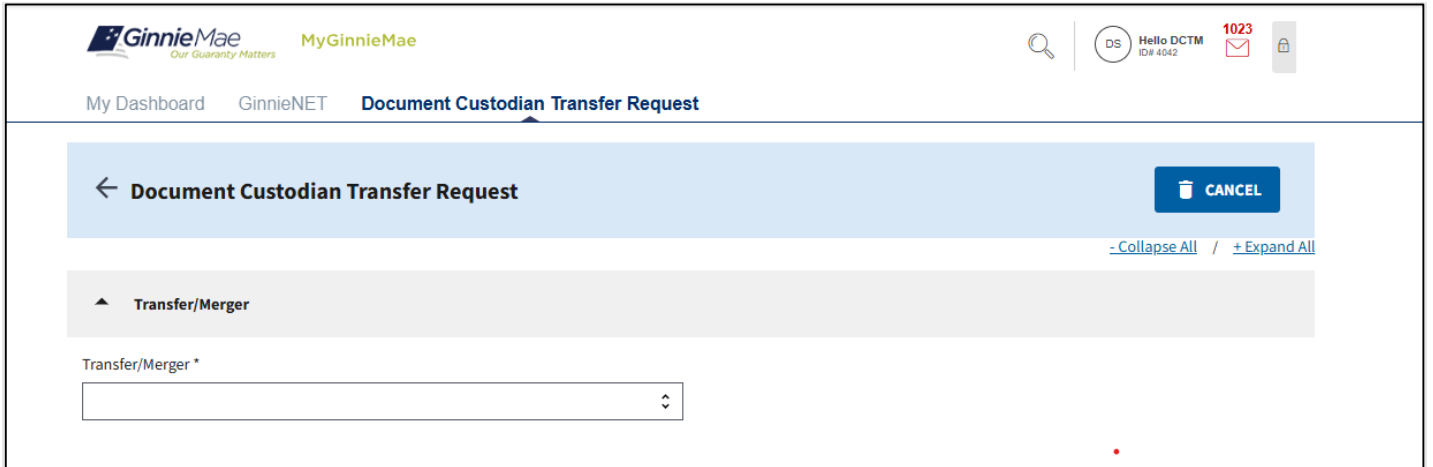


Figure 11: Request Data Entry screen

2. Select the **Complete Portfolio Document Custodian Transfer** option from the **Transfer/Merger** drop-down.

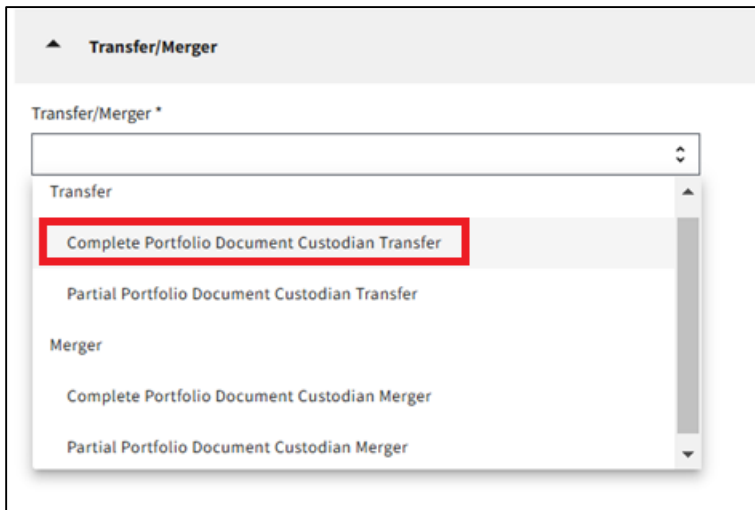


Figure 12: Transfer/Merger Option

3. Complete the screen as required.

Field	Description
Number of Custodians	Required. Choose one of the following from the drop-down: <ul style="list-style-type: none"> Multiple Document Custodian to New Document One Document Custodian to a New Document Custodian
New Custodian	Required. Choose a Document Custodian from which Pools will be transferring. Only one new Custodian can be selected in a request.
Effective Transfer Date	Required. This date must be at least 10 business days and no more than 3 months from the request submission or creation date. The Effective Transfer Date is in MM/DD/YYYY format. Using the date



Field	Description
	picker or filling out the complete format is advised. Editing a specific position will adjust the value to match the required format.
Reason for Transfer Request	Required. Enter a reason for the transfer. You can enter up to 100 characters.

Table 0 Document Custodian Transfer Request Transfer Details Fields

← Document Custodian Transfer Request

CANCEL

- Collapse All / + Expand All

▲ Transfer/Merger

Transfer/Merger *

Complete Portfolio Document Custodian Transfer

Number of Custodians *

Multiple Selected Document Custodians to New Document Custodian

▲ Transfer Details

Status

New

Validation Status

Not Yet Run

New Custodian *

000466 - U S BANK NATIONAL ASSO...

Effective Transfer Date *

MM/DD/YYYY

04/30/2025

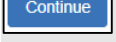
Reason for Transfer Request *

Maximum 100 characters

Document Custodian Ownership changing

Continue

Figure 13: Transfer/Merger & Transfer Detail

- Click the  button to add **Custodians** in **Select Custodian(s)** section.
Note: The button is not active until you complete all the required fields.

▲ **Selected Custodian(s)**

Custodians

-Select-

Custodian Info

Custodian(s): 0

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
No Custodians Selected			

Figure 14: Selected Custodian(s)

5. Select the Custodian(s) to which you want to do a transfer in the **Custodians** field.
An **+ Add** button displays.
6. Click the **+ Add** button.
The Custodian(s) you selected display in the list.

Custodian Info

Custodian(s): 1



Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000487 - ReconTrust Company, N.A.	0	0	

Figure 15: Custodian(s) List

7. Click the ellipse  in the **Actions** column.
8. Select **Edit** from the ellipse menu.
The Select Pool(s) screen displays.
9. Add/Remove pools as needed.
 - a. To add all pools, click the **Action** button in the **Pool(s) on Record** section, select **Select All Pool(s)**, then click the >> button.
 - b. To add specific pools, highlight the pool in the **Pool(s) on Record** section, then click the > button.
 - c. To remove all pools, click the **Action** button in the **Selected Pool(s) to Transfer** section, select **Select All Pool(s)**, then click the << button.
 - d. To remove specific pools, highlight the pool in the **Selected Pool(s) on Transfer** section, then click the < button.

Note: You must select all Custodians and all their associated valid pools for a **Complete Portfolio Document Custodian Transfer**.

Note: You can import a list of pools from an external file (flat file). Refer to the [Import Pools](#) section of this



guide for more information.

Select Pool(s)

×

All actions taken on this page will be autosaved.

Custodian

000466 - U S BANK NATIONAL ASSOCIATION

Pool(s) on Record

Search By Pool Id

Showing 1 - 20 out of 20

20

« First

< Previous

1

Next >

Last »

Pool Id

Issue Date

Pool Type

Issue Type

UB1906

07/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UB1913

07/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UB1908

07/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UB1893

06/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UB1901

06/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UN0729

04/01/2024

Single Family (SF)

Ginnie Mae II Multiple Issuer Pool

UN5012

04/01/2024

Single Family

Ginnie Mae II Multiple Issuer

Selected Pool(s) to Transfer

Search By Pool Id

Showing 1 - 2 out of 2

20

« First

< Previous

1

Next >

Last »

Pool Id

Issue Date

Pool Type

Issue Type

Pool Transfer Status

UN3658

11/01/2023

Single Family (SF)

Ginnie Mae II Custom Issuer Pool

Valid

UA4387

11/01/2023

Buydown (BD)

Ginnie Mae II Custom Issuer Pool

Valid

Figure 16: Adding Pools

10. Click the X button at the top of the screen once all Custodians and their associated pools have been added to the request.

The information is automatically saved by the system.

MyGinnieMae Portal | Document Custodian Transfer Request User Manual v 1.8

26



▲ Selected Custodian(s)

Custodians

-Select-

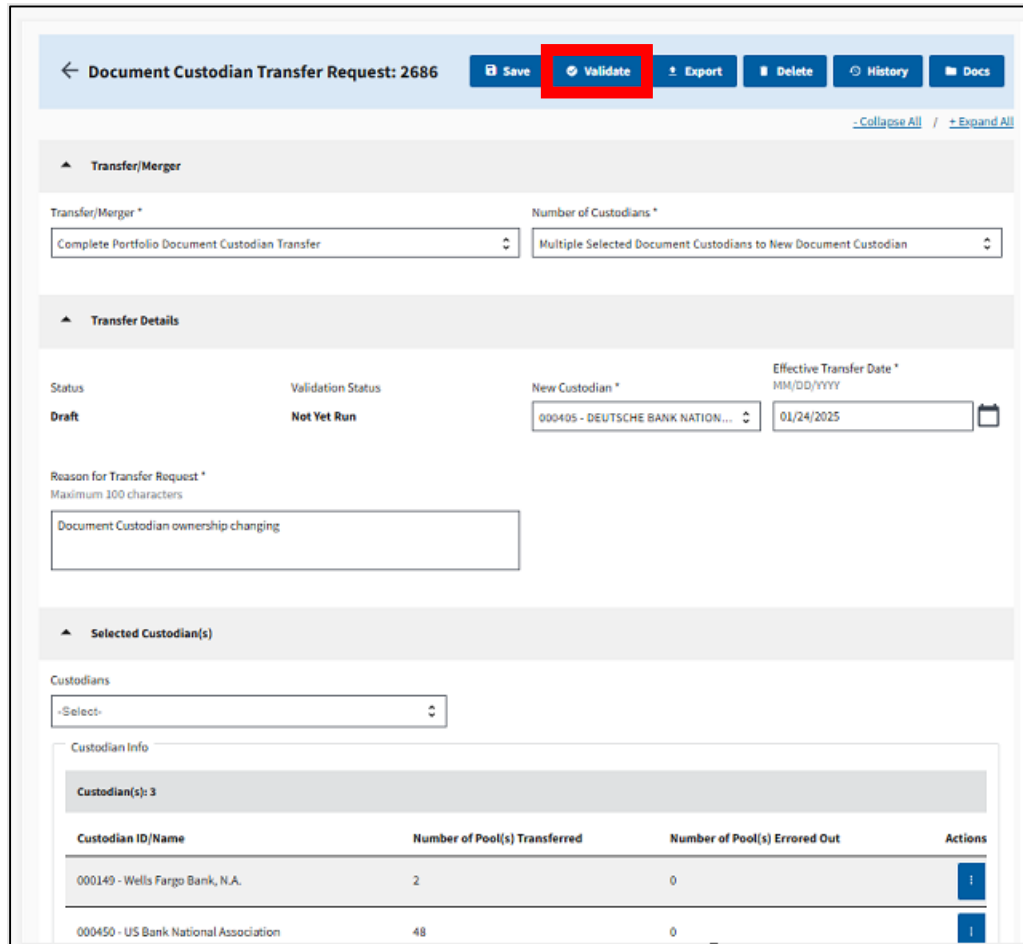
Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000149 - Wells Fargo Bank, N.A.	2	0	⋮
000450 - US Bank National Association	48	0	⋮
000466 - U S BANK NATIONAL ASSOCIATION	22	0	⋮

Figure 17: Selected Custodian(s) & Custodian (s) on Records

11. Click the **Validate** button to run the business rules against the request.



Document Custodian Transfer Request: 2686

Save Validate Export Delete History Docs

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger *
Complete Portfolio Document Custodian Transfer

Number of Custodians *
Multiple Selected Document Custodians to New Document Custodian

Transfer Details

Status: Draft
Validation Status: Not Yet Run
New Custodian *: 000405 - DEUTSCHE BANK NATION...
Effective Transfer Date *: 01/24/2025

Reason for Transfer Request *
Maximum 100 characters
Document Custodian ownership changing

Selected Custodian(s)

Custodians
-Select-

Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000149 - Wells Fargo Bank, N.A.	2	0	[i]
000450 - US Bank National Association	48	0	[i]

Figure 18: Validating a Request

Once the request is validated successfully without any errors on the request, you can submit the request to the receiving Document Custodian.



Save Validate Submit Export Delete History Docs

Figure 19: Submitting a Request

12. Click the **Submit** button for the request submission.
An acknowledgement pop-up message with summary information of the request displays.
13. Click the **checkbox** for the acknowledgement of the summary or the request.
14. Enter **RSA SecurID Passcode**.
15. Click the **Submit** button to proceed with the submission.



Summary of Complete Portfolio Document Custodian Transfer

Summary

The below summarizes the Transfer/Merger Request from the identified Document Custodian(s) to the **000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY**

Selected Custodian(s)

Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred
000149 - Wells Fargo Bank, N.A.	2
000466 - U S BANK NATIONAL ASSOCIATION	22
000450 - US Bank National Association	48

Custodian(s) on Record

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Retained
000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY	116

☒ I hereby acknowledge the summary of the above Document Custodian Transfer/Merger Request.*

Enter RSA SecurID Passcode *

Submit

Cancel

Figure 20: Acknowledgement Pop Up

Once the request is submitted to the DC for approval, the request's status will be changed to **Posted**. The issuer can also **Recall** the request if the Document Custodian has not approved the request.



← Document Custodian Transfer Request: 2686

Recall

✓ Action Success

Request Submitted Successfully.

[- Collapse All](#) / [+ Expand All](#)

▲ Transfer/Merger

Transfer/Merger *

Complete Portfolio Document Custodian Transfer

Number of Custodians *

Multiple Selected Document Custodians to New Document Custodian

▲ Transfer Details

Status	Validation Status	New Custodian *	Effective Transfer Date *
Posted	Passed	000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY	01/24/2025

Reason for Transfer Request *

Maximum 100 characters

Document Custodian ownership changing

▲ Selected Custodian(s)

Figure 21: Submitted Request in Posted Status

Complete Portfolio Document Custodian Merger

Complete Portfolio Document Custodian Merger is a request to merge the Issuer's entire Ginnie Mae MBS portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the newly designated Document Custodian.

1. Click the [Request New Transfer](#) from Request Summary screen.
The Transfer/Merger screen displays.

GinnieMae
Our Guaranty Matters

MyGinnieMae

Search

DS Hello DCTM ID# 4042

1023

Lock

[My Dashboard](#) [GinnieNET](#) [Document Custodian Transfer Request](#)

← Document Custodian Transfer Request

CANCEL

[- Collapse All](#) / [+ Expand All](#)

▲ Transfer/Merger

Transfer/Merger *

Figure 22: Request Data Entry Screen



2. Select the **Complete Portfolio Document Custodian Merger** option from the **Transfer/Merger** drop-down.

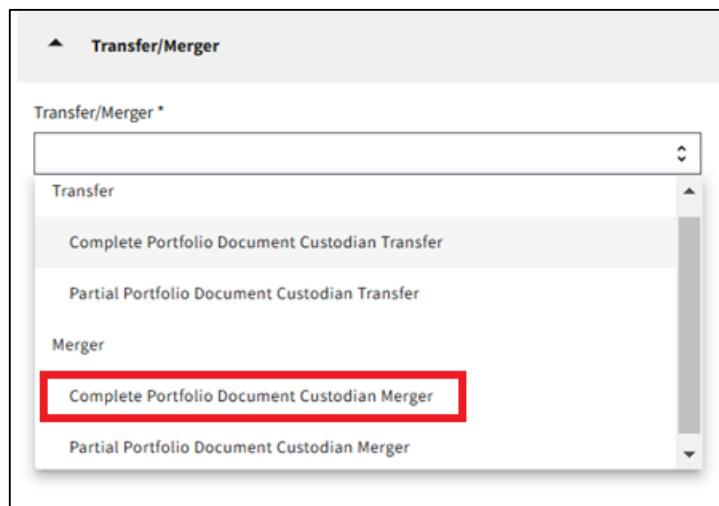


Figure 23: Transfer/Merger Option

3. Complete the screen as required.

Field	Description
Number of Custodians	Required. Choose one of the following from the drop-down: <ul style="list-style-type: none">• Multiple Document Custodian to New Document• One Document Custodian to a New Document Custodian
New Custodian	Required. Choose a Document Custodian from which Pools will be transferring. Only one new Custodian can be selected in a request.
Effective Transfer Date	Required. This date must be at least 10 business days and no more than 3 months from the request submission or creation date. The Effective Transfer Date is in MM/DD/YYYY format. Using the date picker or filling out the complete format is advised. Editing a specific position will adjust the value to match the required format.
Reason for Transfer Request	Required. Enter a reason for the transfer. You can enter up to 100 characters.



← Document Custodian Transfer Request

CANCEL

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger *

Complete Portfolio Document Custodian Merger

Number of Custodians *

Multiple Selected Document Custodians to New Document Custodian

Transfer Details

Status

New

Validation Status

Not Yet Run

New Custodian *

000405 - DEUTSCHE BANK NATION...

Effective Transfer Date *

MM/DD/YYYY

04/30/2025

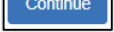
Reason for Transfer Request *

Maximum 100 characters

Document Custodian Ownership is changing

Continue

Figure 24: Transfer/Merger & Transfer Detail

- Click the  button to add **Custodians** in **Select Custodian(s)** section.
Note: The button is not active until you complete all the required fields.
- Select the Custodian(s) to which you want to do a transfer in the **Custodians** field.
An **+ Add** button displays.
- Click the **+ Add** button.
The Custodian(s) you selected display in the list.



Custodian Info			
Custodian(s): 1			
Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000487 - ReconTrust Company, N.A.	0	0	

Figure 25: Custodian(s) List



7. Click the ellipse  in the **Actions** column.
8. Select **Edit** from the ellipse menu.
The Select Pool(s) screen displays.
9. Add/Remove pools as needed.
 - a. To add all pools, click the **Action** button in the **Pool(s) on Record** section, select **Select All Pool(s)**, then click the **>>** button.
 - b. To add specific pools, highlight the pool in the **Pool(s) on Record** section, then click the **>** button.
 - c. To remove all pools, click the **Action** button in the **Selected Pool(s) to Transfer** section, select **Select All Pool(s)**, then click the **<<** button.
 - d. To remove specific pools, highlight the pool in the **Selected Pool(s) on Transfer** section, then click the **<** button.

Note: You can import a list of pools from an external file (flat file). Refer to the [Import Pools](#) section of this guide for more information.



Select Pool(s)

All actions taken on this page will be autosaved.

Custodian

000466 - U S BANK NATIONAL ASSOCIATION

Pool(s) on Record

Actions

Search By Pool Id

Showing 1 - 20 out of 20

[First](#) [Previous](#) **1** [Next](#) [Last](#)

Pool Id	Issue Date	Pool Type	Issue Type
UB1906	07/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UB1913	07/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UB1908	07/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UB1893	06/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UB1901	06/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UN0729	04/01/2024	Single Family (SF)	Ginnie Mae II Multiple Issuer Pool
UN5012	04/01/2024	Single Family	Ginnie Mae II Multiple Issuer

Selected Pool(s) to Transfer

Actions

Search By Pool Id

Showing 1 - 2 out of 2

[First](#) [Previous](#) **1** [Next](#) [Last](#)

Pool Id	Issue Date	Pool Type	Issue Type	Pool Transfer Status
UN3658	11/01/2023	Single Family (SF)	Ginnie Mae II Custom Issuer Pool	Valid
UA4387	11/01/2023	Buydown (BD)	Ginnie Mae II Custom Issuer Pool	Valid

Figure 26: Adding Pools

- Click the **X** button at the top of the screen once all Custodians and their associated pools have been added to the request.

The information is automatically saved by the system.



Selected Custodian(s)

Custodians

-Select-

Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000149 - Wells Fargo Bank, N.A.	2	0	<div></div>
000450 - US Bank National Association	48	0	<div></div>
000466 - U S BANK NATIONAL ASSOCIATION	22	0	<div></div>

Figure 27: Selected Custodian(s) & Custodian (s) on Records

- Click the **Validate** button to run the business rules against the request.
Once the request is validated successfully without any errors on the request, you can submit the request to the receiving Document Custodian.
- Click the **Submit** button for the request submission.
An acknowledgement pop-up message with summary information of the request displays.
- Click the **checkbox** for the acknowledgement of the summary or the request.
- Enter **RSA SecurID Passcode**.
- Click the **Submit** button to proceed with the submission.



Summary of Complete Portfolio Document Custodian Merger

Summary

The below summarizes the Transfer/Merger Request from the identified Document Custodian(s) to the **000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY**

Selected Custodian(s)

Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred
000149 - Wells Fargo Bank, N.A.	2
000466 - U S BANK NATIONAL ASSOCIATION	22
000450 - US Bank National Association	48

Custodian(s) on Record

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Retained
000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY	116

☒ I hereby acknowledge the summary of the above Document Custodian Transfer/Merger Request.*

Enter RSA SecurID Passcode *

Submit

Cancel

Figure 28: Acknowledgement Pop Up

Once the request is submitted to the Document Custodian for approval, the request's status will be changed to **Posted** status. The Issuer can also **Recall** the request if the Document Custodian has not approved the request.



← Document Custodian Transfer Request: 2686

Recall

Export

History

Docs

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger *

Complete Portfolio Document Custodian Merger

Number of Custodians *

Multiple Selected Document Custodians to New Document Custodian

Transfer Details

Status

Posted

Validation Status

Passed

New Custodian *

000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY

Effective Transfer Date *

MM/DD/YYYY

01/24/2025

Reason for Transfer Request *

Maximum 100 characters

Document Custodian ownership changing

Selected Custodian(s)

Custodian Info

Custodian(s): 3

Custodian ID/Name	Number of Pool(s) Transferred	Actions
000149 - Wells Fargo Bank, N.A.	2	<div>⋮</div>
000450 - US Bank National Association	48	<div>⋮</div>

Figure 29: Submitted Request in Posted Status

Partial Portfolio Document Custodian Transfer

Partial Portfolio Document Custodian Transfer is a request to transfer selected pools/loan packages from the Issuer's Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a new Document Custodian.

1. Click the

Request New Transfer

 button on the Request Summary screen.
The Transfer/Merger screen displays.



U.S. Department of Housing and Urban Development

GinnieMae MyGinnieMae

My Dashboard GinnieNET Document Custodian Transfer Request

Document Custodian Transfer Request

CANCEL

Transfer/Merger

Transfer/Merger *

Figure 30: Request Data entry screen

2. Select the **Partial Portfolio Document Custodian Transfer** option from the **Transfer/Merger** drop-down.

U.S. Department of Housing and Urban Development

GinnieMae MyGinnieMae

My Dashboard GinnieNET Document Custodian Transfer Request

Document Custodian Transfer Request

CANCEL

Transfer/Merger

Transfer/Merger *

Transfer

Complete Portfolio Document Custodian Transfer

Partial Portfolio Document Custodian Transfer

Merger

Complete Portfolio Document Custodian Merger

Partial Portfolio Document Custodian Merger

Figure 31: Transfer/Merger Option

4. Complete the screen as required.

Field	Description
Number of Custodians	Required. Choose one of the following from the drop-down: <ul style="list-style-type: none">Multiple Document Custodian to New DocumentOne Document Custodian to a New Document Custodian



Field	Description
New Custodian	Required. Choose a Document Custodian from which Pools will be transferring. Only one new Custodian can be selected in a request.
Effective Transfer Date	Required. This date must be at least 10 business days and no more than 3 months from the request submission or creation date. The Effective Transfer Date is in MM/DD/YYYY format. Using the date picker or filling out the complete format is advised. Editing a specific position will adjust the value to match the required format.
Reason for Transfer Request	Required. Enter a reason for the transfer. You can enter up to 100 characters.

Document Custodian Transfer Request

Transfer/Merger

Transfer/Merger *
Partial Portfolio Document Custodian Transfer

Number of Custodians *
One Selected Document Custodian to New Document Custodian

Transfer Details

Status	Validation Status
New	Not Yet Run

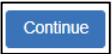
New Custodian *
000149 - Wells Fargo Bank, N.A.

Effective Transfer Date *
MM/DD/YYYY
12/26/2024

Reason for Transfer Request *
Maximum 100 characters
Pools need to be transferred

Continue

Figure 32: Transfer/Merger & Transfer Detail Sections

- Click the  button to add **Custodians** in **Select Custodian(s)** section.
Note: The button is not active until you complete all the required fields.



Selected Custodian(s)

Custodians

-Select-

Custodian Info

Custodian(s): 0

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
No Custodians Selected			

Figure 33: Selected Custodian(s)


6. Select the Custodian(s) to which you want to do a transfer in the **Custodians** field.
An **+ Add** button displays.
7. Click the **+ Add** button.
The Custodian(s) you selected display in the list.

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000487 - ReconTrust Company, N.A.	0	0	

Figure 34: Custodian(s) List

8. Click the ellipse  in the **Actions** column.
9. Select **Edit** from the ellipse menu.
The Select Pool(s) screen displays.
10. Add/Remove pools as needed.
 - a. To add all pools, click the **Action** button in the **Pool(s) on Record** section, select **Select All Pool(s)**, then click the **>>** button.
 - b. To add specific pools, highlight the pool in the **Pool(s) on Record** section, then click the **>** button.
 - c. To remove all pools, click the **Action** button in the **Selected Pool(s) to Transfer** section, select **Select All Pool(s)**, then click the **<<** button.
 - d. To remove specific pools, highlight the pool in the **Selected Pool(s) on Transfer** section, then click the **<** button.

Note: You can import a list of pools from an external file (flat file). Refer to the [Import Pools](#) section of this guide for more information.



Select Pool(s)

All actions taken on this page will be autosaved.

Custodian

000350 - BANK OF NEW YORK MELLON TRUST CO.

Pool(s) on Record

Actions

Search By Pool Id

Pool Id	Issue Date	Pool Type	Issue Type
UN0261	02/01/2023	Single Family (SF)	Multiple Issuer
UN0258	02/01/2023	Buydown (BD)	Single Issuer
UB5234	04/01/2022	Single Family (SF)	Single Issuer
UB1620	09/01/2020	5 Year CMT ARM (AF)	Single Issuer
UA5075	08/01/2019	XL	Single Issuer
UA4278	09/01/2020	ARM (AF)	Issuer
UA4269	09/01/2020	5 Year CMT ARM (AF)	Single Issuer
UA4244	09/01/2020	5 Year CMT ARM (AF)	Single Issuer
UA4182	01/01/2020	1 Year CMT ARM (AQ)	Multiple Issuer

Selected Pool(s) to Transfer

Actions

Search By Pool Id

Pool Id	Issue Date	Pool Type	Issue Type	Pool Transfer Status
No Selected Pool(s) to Transfer				

Showing 0 - 0 out of 0

<< First < Previous Next > Last >>

Figure 35: Select Pool(s)

- Click the **X** button at the top of the screen once all Custodians and their associated pools have been added to the request.

The information is automatically saved by the system.

When the Issuer saves the request, it validates the request and updates the Pool Counts for **Number of Pool(s) Transferred** and **Custodian(s) on Record**. For example, 5 pools will be transferred to **New Document Custodian - 000149 - Wells Fargo Bank, N.A.**, while 648 pools will remain with **000350 - BANK OF NEW YORK MELLON CO.**

MyGinnieMae Portal | Document Custodian Transfer Request User Manual v 1.8

41

Selected Custodian(s)

Custodians

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Error Out	Actions
000350 - BANK OF NEW YORK MELLON TRUST CO.	5	0	

Custodian(s) on Record

Custodian Info

Custodian(s): 7

Custodian ID/Name	Number of Pool(s) Retained
000149 - Wells Fargo Bank, N.A.	2
000350 - BANK OF NEW YORK MELLON TRUST CO.	648
000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY	113
000450 - US Bank National Association	48
000466 - U S BANK NATIONAL ASSOCIATION	22
000536 - Wells Fargo NA	24
000835 - The Bank of New York Mellon Trust Compan	523

Figure 36: Selected Custodian(s) & Custodian(s) on Record

12. Click the **Validate** button to run the business rules against the request.
Once the request is validated successfully without any errors on the request, you can submit the request to the receiving Document Custodian.
13. Click the **Submit** button for the request submission.
An acknowledgement pop-up message with summary information of the request displays.
14. Click the **checkbox** for the acknowledgement of the summary or the request.
15. Enter **RSA SecurID Passcode**.
16. Click the **Submit** button to proceed with the submission.



Total: 5 Selected: 0							
<input type="checkbox"/>	Request Number ↓	New Custodian ID ↑	Request Type ↑	Effective Transfer ↑ Date	Status ↑	Business Rules	Actions
<input type="checkbox"/>	2206	000149 - Wells Fargo Bank, N.A.	Partial Portfolio Document Custodian Transfer	12/26/2024	Posted		Export Recall Docs ⋮

Recall Request 2206

Please provide the reason for recall *

Please enter an indication for this request recall in 100 characters

Enter RSA SecurID Passcode *

[Recall](#) [Cancel](#)

Figure 38: Recall Request

Important: The issuer can only **Recall** the request if the Document Custodian has not approved the request.

Partial Portfolio Document Custodian Merger

Partial Portfolio Document Custodian Merger a request to merge selected pools/loan packages of the Issuer's Ginnie Mae MBS Portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the new designated Document Custodian.

1. Click the [Request New Transfer](#) from Request Summary screen.
The Transfer/Merger screen displays.

GinnieMae
Our Guaranty Matters

MyGinnieMae

Hello DCTM
ID# 4042

[My Dashboard](#) [GinnieNET](#) [Document Custodian Transfer Request](#)

[←](#) **Document Custodian Transfer Request** [CANCEL](#)

[-Collapse All](#) / [+Expand All](#)

Transfer/Merger

Transfer/Merger *



Figure 39: Request Data entry screen

2. Select **Partial Portfolio Document Custodian Transfer** option from the **Transfer/Merger** drop-down.

Document Custodian Transfer Request

[- Collapse All](#) / [+ Expand All](#)

Transfer/Merger

Transfer/Merger *

Transfer

Complete Portfolio Document Custodian Transfer

Partial Portfolio Document Custodian Transfer

Merger

Complete Portfolio Document Custodian Merger

Partial Portfolio Document Custodian Merger

Figure 40: Transfer/Merger Option

3. Complete the screen as required.

Field	Description
Number of Custodians	Required. Choose one of the following from the drop-down: <ul style="list-style-type: none">• Multiple Document Custodian to New Document• One Document Custodian to a New Document Custodian
New Custodian	Required. Choose a Document Custodian from which Pools will be transferring. Only one new Custodian can be selected in a request.
Effective Transfer Date	Required. This date must be at least 10 business days and no more than 3 months from the request submission or creation date. The Effective Transfer Date is in MM/DD/YYYY format. Using the date picker or filling out the complete format is advised. Editing a specific position will adjust the value to match the required format.
Reason for Transfer Request	Required. Enter a reason for the transfer. You can enter up to 100 characters.



← Document Custodian Transfer Request

CANCEL

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger *

Partial Portfolio Document Custodian Merger

Number of Custodians *

One Selected Document Custodian to New Document Custodian

Transfer Details

Status

New

Validation Status

Not Yet Run

New Custodian *

000350 - BANK OF NEW YORK MELLON

Effective Transfer Date *

MM/DD/YYYY

12/26/2024

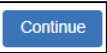
Reason for Transfer Request *

Maximum 100 characters

Pools needs to be transferred

Continue

Figure 41: Partial Portfolio Document Custodian Merger Data Entry

- Click the  button to add **Custodians** in **Select Custodian(s)** section.
Note: The button is not active until you complete all the required fields.
- Select the Custodian(s) to which you want to do a transfer in the **Custodians** field.
An **+ Add** button displays.
- Click the **+ Add** button.
The Custodian(s) you selected display in the list.



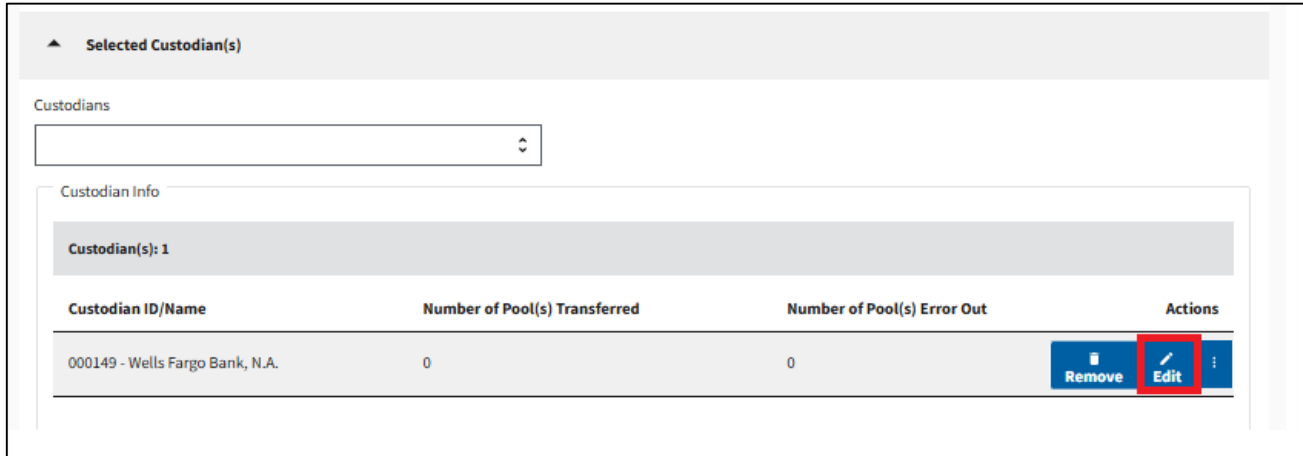
Custodian Info			
Custodian(s): 2			
Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Errored Out	Actions
000464 - CITIBANK NA	17	0	
000545 - STELLAR BANK	12	0	

Figure 42: Custodian Info

7. Click the ellipse  in the **Actions** column.



Selected Custodian(s)

Custodians

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Error Out	Actions
000149 - Wells Fargo Bank, N.A.	0	0	<div>Remove</div> <div>Edit</div>

Figure 43: Edit Document Custodians

8. Select **Edit** from the ellipse menu.
The Select Pool(s) screen displays.
9. Add/Remove pools as needed.
 - a. To add all pools, click the **Action** button in the **Pool(s) on Record** section, select **Select All Pool(s)**, then click the >> button.
 - b. To add specific pools, highlight the pool in the **Pool(s) on Record** section, then click the > button.
 - c. To remove all pools, click the **Action** button in the **Selected Pool(s) to Transfer** section, select **Select All Pool(s)**, then click the << button.
 - d. To remove specific pools, highlight the pool in the **Selected Pool(s) on Transfer** section, then click the < button.

Note: You can import a list of pools from an external file (flat file). Refer to the [Import Pools](#) section of this guide for more information.



Select Pool(s)

All actions taken on this page will be autosaved.

Custodian

000149 - Wells Fargo Bank, N.A.

Pool(s) on Record

Actions

Select All Pool(s)

Export All Pool(s)

Search By Pool Id

Pool Id	Issue Date	Pool Type	Issue Type
UB1475	07/01/2023	Single Family (SF)	Single Issuer
UA0598	09/01/2020	5 Year CMT ARM (AF)	Single Issuer

Showing 1 - 2 out of 2

<< First < Previous 1 Next > Last >>

Selected Pool(s) to Transfer

Actions

Search By Pool Id

Pool Id	Issue Date	Pool Type	Issue Type	Pool Transfer Status
No Selected Pool(s) to Transfer				

Showing 0 - 0 out of 0

<< First < Previous Next > Last >>

Figure 44: Adding Pools

- Click the **X** button at the top of the screen once all Custodians and their associated pools have been added to the request.

The information is automatically saved by the system.



Document Custodian Transfer Request

CANCEL

SAVE

[- Collapse All](#) / [+ Expand All](#)

Transfer/Merger

Transfer/Merger *

Partial Portfolio Document Custodian Merger

Number of Custodians *

One Selected Document Custodian to New Document Custodian

Transfer Details

Status

New

Validation Status

Not Yet Run

New Custodian *

000350 - BANK OF NEW YORK MELLON

Effective Transfer Date *

MM/DD/YYYY

12/26/2024

Reason for Transfer Request *

Maximum 100 characters

Pools needs to be transferred

Selected Custodian(s)

Custodians

Custodian Info

Custodian(s): 1

Custodian ID/Name	Number of Pool(s) Transferred	Number of Pool(s) Error Out	Actions
-------------------	-------------------------------	-----------------------------	---------

Figure 45: Saving a Request

- Click the **Validate** button to run the business rules against the request.
Once the request is validated successfully without any errors on the request, you can submit the request to the receiving Document Custodian.
- Click the **Submit** button for the request submission.
An acknowledgement pop-up message with summary information of the request displays.
- Click the **checkbox** for the acknowledgement of the summary or the request.
- Enter **RSA SecurID Passcode**.
- Click the **Submit** button to proceed with the submission.



Save

Validate

Submit

Export

Delete

History

Docs

Summary of Partial Portfolio Document Custodian Merger

Summary

The below summarizes the Transfer/Merger Request from the identified Document Custodian(s) to the 000350 - BANK OF NEW YORK MELLON TRUST CO.

Selected Custodian(s)

Custodian Info

Custodian(s): 1

Custodian	Number of Pool(s) Transferred
000140 - Wells Fargo Bank, N.A.	2

Custodian(s) on Record

Custodian Info

Custodian(s): 6

Custodian	Number of Pool(s) Retained
000466 - U S BANK NATIONAL ASSOCIATION	22
000835 - The Bank of New York Mellon Trust Compan	523
000405 - DEUTSCHE BANK NATIONAL TRUST COMPANY	113
000536 - Wells Fargo NA	24
000450 - US Bank National Association	48
000350 - BANK OF NEW YORK MELLON TRUST CO.	648

☐ I hereby acknowledge the summary of the above Document Custodian Transfer/Merger Request.

Enter RSA SecurID Passcode *

Submit

Cancel

Figure 46: Acknowledge Popup for a Request Submission

Once the request is submitted to the Document Custodian for approval, the status of the request changes to **Posted**.

<input type="checkbox"/>	Request Number ↓	New Custodian ID ↑	Request Type ↑	Effective Transfer ↑ Date	Status ↑	Business Rules	Actions
<input type="checkbox"/>	2207	000350 - BANK OF NEW YORK MELLON TRUST CO.	Partial Portfolio Document Custodian Merger	12/26/2024	Posted		

Figure 47: Request Posted Status



The issuer can only **Recall** the request if the Document Custodian has not approved the request after the request submission. The Issuer must provide **Reason for Recall** and **RSA SecurID Passcode**. Once the **Recall** is successful, the request will be in **Draft** status and available for the Issuer

Figure 48: Recall Request

Document Custodian

Document Custodians play an important role in the Ginnie Mae process. A Document Custodian must hold documents related to the pooled mortgages on Ginnie Mae's behalf for the life of the pool or loan package. Prior to submission of a pool or loan package for processing, it is the Issuer's responsibility to select an eligible institution to serve as Document Custodian. The Document Custodian works directly under the Issuer. While an Issuer may use different Document Custodians for different pools or loan packages, each pool or loan package must have only one Document Custodian.

There are two types of Document Custodians: New and Relinquishing. A New Custodian takes on custodial duties for transferred pools, while a Relinquishing Custodian gives up these duties.

New Custodian: A Document Custodian is responsible for following actions during pools processing or Document Custodian Transfer request processing:

- Acknowledging / Declining a Document Custodian Transfer & Merger requests for all program types (Single Family, Multifamily & HMBS).

Relinquishing Custodian: A Document Custodian is responsible for following actions during pools processing or Document Custodian Transfer request processing:

- View DCTR requests, review request details, export DCTR requests or transferred pools, download request summaries or approval letters, receive notifications about DCTR request processing.



Features

The following features are available to Document Custodians for Document Custodian Transfer Merger request processing.

- Accessing Document Custodian Transfer Request Summary in My Ginnie Mae
- Acknowledging / Declining DCTR requests
- Export a single or multiple requests into a single flat file
- View list of all pools in a request
- Request Summary document for DCTR requests
- Portal Notifications/Emails throughout a DCTR request processing

Document Custodian Transfer Request quick access in Document Custodian's My Dashboard

Functional Role

A functional role is a system access profile based on the business activities used to ensure that end-users have the appropriate level of access to be able to perform their job functions and responsibilities. There are two functional roles associated with Document Custodian Transfer Request.

Role	User	Description
DC-Pool Certification Basic User	Basic User Document Custodian	Users can access Document Custodian Transfer Request in MGM, view all DCTR requests which are submitted to the document Custodians, Export Requests, and access Request Summary.
DC-Pool Certification and Collateral Release Management Authorized Signer	Authorized Signer Document Custodian	Users can access Document Custodian Transfer Request in MGM, view, Approve/Decline, export requests, and access Request Summary.

Table 0 Document Custodian Functional Roles

My Dashboard

A Document Custodian can see an overview of their pools in the "Document Custodian Transfer Request" section on My Dashboard. This section includes three categories: Pending Requests, Approved, and Rejected, each showing the respective pools and Issuers. Users can also switch between New Custodian and Relinquishing Custodian in the Type of Custodian dropdown.

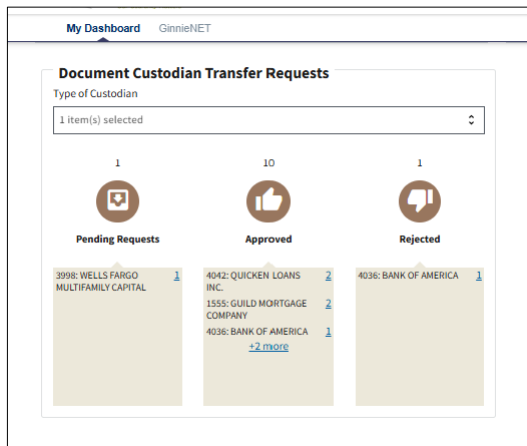


Figure 49: Document Custodian Transfer Requests

To access the DCTR Application:

1. Log into [MyGinnieMae](#).

The **Document Custodian Transfer Request** displays options for navigating to the Document Custodian Transfer Request application.

2. Click the **Document Custodian Transfer Request** tab at the top of the screen.

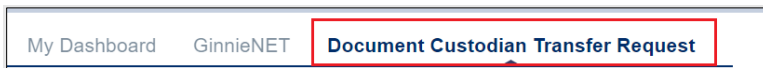


Figure 50: Document Custodian Transfer Request Tab

New/Relinquishing Custodian

New Custodian

A New Custodian is the recipient who accepts custodian duties for a transferred pool or pools.

Document Custodian Transfer Request Summary

The following screen displays a list of requests associated with the user that logged in. The Document Custodian can:

- Filter or search for an existing request
- Approve/decline requests
- Export requests
- Download reports



Document Custodian Transfer Request Summary

Request Number

Enter Request Number

Issuer

-Select-

Request Type

-Select-

Effective Transfer Date

MM/DD/YYYY - MM/DD/YYYY

-

Status

-Select-

Type of Custodian

-Select-

Clear All Filters

Apply Filters

Showing 1 - 7 out of 7

20

< First

< Previous

1

Next >

Last >

Total: 7 Selected: 0

<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Type of Custodian	Actions
<input type="checkbox"/>	3694	3998 - WELLS FARGO MULTIFAMILY CAPITAL	Partial Portfolio Document Custodian Transfer	06/30/2025	Posted	New Custodian	
<input type="checkbox"/>	3187	1555 - GUILD MORTGAGE COMPANY	Complete Portfolio Document Custodian Transfer	04/24/2025	Rejected by Ginnie Mae System	Relinquishing Custodian	
<input type="checkbox"/>	2626	3886 - CITIMORTGAGE, INC.	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed	Relinquishing Custodian	
<input type="checkbox"/>	1510	1555 - GUILD MORTGAGE COMPANY	Complete Portfolio Document Custodian Transfer	10/02/2024	Completed	Relinquishing Custodian	
<input type="checkbox"/>	1250	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Transfer	06/24/2025	Posted	Relinquishing Custodian	
<input type="checkbox"/>	1188	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Merger	08/21/2024	Completed	New Custodian	
<input type="checkbox"/>	938	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Merger	08/13/2024	Completed	New Custodian	

Figure 51: Document Custodian Transfer Request Summary



Filters

Document Custodians can filter or search for specific requests by selecting the following criteria:

- Request Number
- Issuer
- Request Type
- Effective Transfer Date
- Status
- Type of Custodian

After selecting the desired criteria, click the **Apply Filters** button to execute the search.

Document Custodian Transfer Request Summary

The screenshot shows a filter interface for Document Custodian Transfer Request Summary. It includes the following fields and controls:

- Request Number:** A text input field with the placeholder "Enter Request Number".
- Issuer:** A dropdown menu with "-Select-" as the selected option.
- Request Type:** A dropdown menu with "-Select-" as the selected option.
- Effective Transfer Date:** A date input field with the placeholder "MM/DD/YYYY - MM/DD/YYYY" and a calendar icon.
- Status:** A dropdown menu with "-Select-" as the selected option.
- Type of Custodian:** A dropdown menu with "-Select-" as the selected option.
- Buttons:** "Clear All Filters" (light blue) and "Apply Filters" (dark blue).

Figure 52: Filter

Filters	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
Issuers	Issuer Name and Id.
Request Type	<p>Document Custodian Transfer Request Type. There are 4 request types in the drop-down menu.</p> <ol style="list-style-type: none">Complete Portfolio Document Custodian Merger: Complete Portfolio Document Custodian Merger is a request to merge the Issuer's entire Ginnie Mae MBS portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the newly designated Document Custodian.Partial Portfolio Document Custodian Merger: Partial Portfolio Document Custodian Merger a request to merge selected pools/loan



Filters	Definition
	<p>packages of the Issuer's Ginnie Mae MBS Portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the new designated Document Custodian</p> <ol style="list-style-type: none"> Complete Portfolio Document Custodian Transfer: Complete Portfolio Document Custodian Transfer is a request to transfer the Issuer's entire Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a New Document Custodian. Partial Portfolio Document Custodian Transfer: Partial Portfolio Document Custodian Transfer is a request to transfer selected pools/loan packages from the Issuer's Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a New Document Custodian.
Transfer Effective Date	Transfer Effective Date range. Users can search for requests for specific Transfer Effective Date Range.
Status	<p>All Transfer Requests Statuses in the drop-down field:</p> <ol style="list-style-type: none"> Approved by Ginnie Mae: A Transfer/Merger request approved/acknowledged by the AE user. Pending Ginnie Mae Approval: A Transfer/Merger request has been acknowledged by Document Custodian. Declined by Custodian: A Transfer/Merger declined by a Document Custodian. Declined by Ginnie Mae: A Transfer/Merger declined by an Account Executive. Posted: A Transfer /Merger: A request submitted by an Issuer for DC Approval. Complete: Pools get transferred from 1 or multiple DCs to a new DC on the Transfer Effective Date. Rejected by Ginnie Mae System: A Transfer/Merger request is rejected by Mainframe system. The request was Approved by Ginnie Mae, however, was not processed in Mainframe due to some errors in the request.
Type of Custodian	<ol style="list-style-type: none"> New Custodian: The new custodian receives pools from the relinquishing custodian. Relinquishing Custodian: The relinquishing custodian's pools are transferred to the new custodian.
Clear Filters (Button)	Users can clear the filter and reset it to no search criteria selection.
Apply Filter (Button)	Users can apply filters after selecting one or multiple search criteria.



Table 4.6 Document Custodian Transfer Request Filters

Requests Summary

The Requests Summary sections display all requests created by Issuers. The Issuer can open individual requests by clicking each request number. Each column can be sorted in ascending or descending order. Columns are defined as follows:

Field	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
Issuer	Issuer ID and Name.
New Custodian	Document Custodian ID and name of new custodians.
Effective Transfer Date	Dates on which the request will be completed.
Status	Status for each request.
Business Rules	Request Business Rules validation status.
Actions	Actions that Issuers can take as per request. Refer to the Request Ellipses section for more information.

Table 0 Document Custodian Transfer Request Summary Columns

Document Custodian Transfer Request Summary

Request Number

Issuer

Request Type

Effective Transfer Date
MM/DD/YYYY - MM/DD/YYYY

Status

Type of Custodian

Showing 1 - 7 out of 7

Total: 7 Selected: 0

<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Type of Custodian	Actions
<input type="checkbox"/>	3694	3998 - WELLS FARGO MULTIFAMILY CAPITAL	Partial Portfolio Document Custodian Transfer	06/30/2025	Posted	New Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	3187	1555 - GUILD MORTGAGE COMPANY	Complete Portfolio Document Custodian Transfer	04/24/2025	Rejected by Ginnie Mae System	Relinquishing Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	2626	3886 - CITIMORTGAGE, INC.	Partial Portfolio Document Custodian Transfer	12/27/2024	Completed	Relinquishing Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	1510	1555 - GUILD MORTGAGE COMPANY	Complete Portfolio Document Custodian Transfer	10/02/2024	Completed	Relinquishing Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	1250	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Transfer	06/24/2025	Posted	Relinquishing Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	1188	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Merger	08/21/2024	Completed	New Custodian	<input type="button" value="⋮"/>
<input type="checkbox"/>	938	2045 - GERSHMAN INVESTMENT CORP.	Partial Portfolio Document Custodian Merger	08/13/2024	Completed	New Custodian	<input type="button" value="⋮"/>

Figure 53: Request Summary



Filter

Document Custodians can filter or search for specific requests by selecting the following criteria:

- Request Number
- Issuer
- Request Type
- Effective Transfer Date
- Status
- Type of Custodian

After selecting the desired criteria, click the **Apply Filters** button to execute the search.


Document Custodian Transfer Request Summary

Request Number	Issuer	Request Type	Effective Transfer Date MM/DD/YYYY - MM/DD/YYYY
<input type="text" value="Enter Request Number"/>	<input type="text" value="-Select-"/>	<input type="text" value="-Select-"/>	<input type="text" value="-"/>
Status	Type of Custodian		
<input type="text" value="-Select-"/>	<input type="text" value="-Select-"/>	<input type="button" value="Clear All Filters"/> <input type="button" value="Apply Filters"/>	

Figure 54: Filter



Request Ellipses

The Ellipses  are under the **Actions** column. A Document Custodian can take actions such as approval, decline, export view documents etc. A request's status will determine which action can be taken. For example, if a request is in **Posted** status, then the document custodian can Acknowledge, Decline, Export, and view documents.

Total: 2 Selected: 0						
<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Actions
<input type="checkbox"/>	2326	3886 - CITIMORTGAGE, INC.	Partial Portfolio Document Custodian Transfer			Acknowledge Decline Export Docs ⋮
<input type="checkbox"/>	1062	3886 - CITIMORTGAGE, INC.	Complete Portfolio Document Custodian Merger	08/13/2024	Completed	⋮

Showing 1 - 2 out of 2 20 [First](#) [Previous](#) **1** [Next](#) [Last](#)

Figure 55: Request Ellipses

Below are the actions a Document Custodian can take with request status.

Request Status	Actions from Ellipses
Posted	Acknowledge, Decline, Export, Docs (view Request Summary Document)
Pending Ginnie Mae Approval	Docs, Export
Approved by Ginnie Mae	Docs, Export
Declined by Custodian	Docs, Export
Declined by Ginnie Mae	Docs, Export
Completed	Docs, Export
Rejected by Ginnie Mae System	Doc, Export

Acknowledge / Declining a Request

The new Document Custodian can approve or decline a request. The DC can access the posted request(s) on the Document Custodian Transfer Request Summary screen.

To acknowledge a transfer or merger request, a new custodian can filter the "Type of Custodian" to "New Custodian" to see all relevant requests and find the one to acknowledge.



Acknowledge a Transfer /Merger Request

Total: 2 Selected: 0						
<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Actions
<input type="checkbox"/>	2326	3886 - CITIMORTGAGE, INC.	Partial Portfolio Document Custodian Transfer	Acknowledge	Decline	Export Docs ⋮
<input type="checkbox"/>	1062	3886 - CITIMORTGAGE, INC.	Complete Portfolio Document Custodian Merger	08/13/2024	Completed	⋮

Showing 1 - 2 out of 2 20 [First](#) [Previous](#) [1](#) [Next](#) [Last](#)

Figure 56: Acknowledge New Transfer/Merger

To Acknowledge a request:

1. Click the **Acknowledge** button from the ellipse.
OR
Open the request then click the **Acknowledge** button.

[←](#) **Document Custodian Transfer Request: 2326** [Acknowledge](#) [Decline](#) [Export](#) [History](#) [Docs](#)

[-Collapse All](#) / [+Expand All](#)

[▲](#) **Transfer/Merger**

Transfer/Merger

Partial Portfolio Document Custodian Transfer

Number of Custodians

One Selected Document Custodian to New Document Custodian

[▲](#) **Transfer Details**

Status

Posted

Issuer

3886 - CITIMORTGAGE, INC.

New Custodian

000545 - ALLEGIANCE BANK

Effective Transfer Date

MM/DD/YYYY

12/24/2024

Reason for Transfer Request

Maximum 100 characters

test

[▲](#) **Selected Custodian(s)**

Figure 57: Request Acknowledge



2. Click the **checkbox** for the acknowledgement of the summary or the request.
3. Enter **RSA SecurID Passcode**.
4. Click the **Submit** button to proceed with the submission.
5. Review the acknowledgement and enter **RSA SecurID Passcode** Token and click **Submit** button.

Acknowledgement (Summary of Partial Portfolio Document Custodian Transfer)

i Summary

The below summarizes the Transfer/Merger Request from the **Issuer 3886 - CITIMORTGAGE, INC.** from the identified Document Custodian(s) to the **000545 - ALLEGIANCE BANK**

Selected Custodian(s)

Custodian Info

Custodian(s): 1

Custodian	Number of Pool(s) Transferred
000536 - Wells Fargo NA	1

☒ I hereby acknowledge the summary of the above Document Custodian Transfer/Merger Request.*

Enter RSA SecurID Passcode *

.....

Submit

Cancel

Figure 58: Document Custodian Acknowledgement

Once a request is approved by the receiving Document Custodian, the status of request changes to **Pending Ginnie Mae Approval**.



← Document Custodian Transfer Request: 2326

Export

History

Docs

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger

Number of Custodians

Partial Portfolio Document Custodian Transfer

One Selected Document Custodian to New Document Custodian

Transfer Details

Status

Issuer

New Custodian

Effective Transfer Date

Pending Ginnie Mae Approval

3886 - CITIMORTGAGE, INC.

000545 - ALLEGIANCE BANK

12/24/2024

Reason for Transfer Request

Maximum 100 characters

Figure 59: Document Custodian Approved Request

Declining a Transfer/Merger Request

Total: 2 Selected: 0						
<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Actions
<input type="checkbox"/>	2326	3886 - CITIMORTGAGE, INC.	Partial Portfolio Document Custodian Transfer	<div><div>Acknowledge</div><div>Decline</div></div>		<div><div>Export</div><div>Docs</div><div></div></div>
<input type="checkbox"/>	1062	3886 - CITIMORTGAGE, INC.	Complete Portfolio Document Custodian Merger	08/13/2024	Completed	<div><div></div></div>

Showing 1 - 2 out of 2

20

First

Previous

1

Next

Last

Figure 60: Document Custodian Ellipses

To decline a request:

- Click **Decline** button from ellipse.
- OR
- Open the request then click the **Decline** button.



← Document Custodian Transfer Request: 2326

Acknowledge

Decline

Export

History

Docs

- Collapse All / + Expand All

Transfer/Merger

Transfer/Merger

Number of Custodians

Partial Portfolio Document Custodian Transfer

One Selected Document Custodian to New Document Custodian

Transfer Details

Status	Issuer	New Custodian	Effective Transfer Date
			MM/DD/YYYY
Posted	3886 - CITIMORTGAGE, INC.	000545 - ALLEGIANCE BANK	12/24/2024

Reason for Transfer Request

Maximum 100 characters

test

Selected Custodian(s)

Figure 61: Document Custodian Decline

2. Click the **checkbox** for the acknowledgement of the summary or the request.
3. Enter a reason for declining the request.
4. Enter **RSA SecurID Passcode**.
5. Click the **Decline** button to proceed with the submission.



Summary of Partial Portfolio Document Custodian Transfer

Summary

The below summarizes the Transfer/Merger Request from the **Issuer 3886 - CITIMORTGAGE, INC.** from the identified Document Custodian(s) to the **000545 - ALLEGIANCE BANK**

Selected Custodian(s)

Custodian Info

Custodian(s): 1

Custodian	Number of Pool(s) Transferred
000536 - Wells Fargo NA	1

☒ hereby acknowledge the summary of the above Document Custodian Transfer/Merger Request.*

Please provide the reason for decline *

More pools need to be added

Enter RSA SecurID Passcode *

Decline

Cancel

Figure 62: Document Custodian Decline Acknowledgement

Once a request is declined by the receiving Document Custodian, then the status of request changes to **Declined by Custodian** The request goes back to the Issuer to take further action.

MyGinnieMae Portal | Document Custodian Transfer Request User Manual v 1.8

64



← Document Custodian Transfer Request: 2326

Export

History

Docs

[- Collapse All](#) / [+ Expand All](#)

▲ Transfer/Merger

Transfer/Merger

Partial Portfolio Document Custodian Transfer

Number of Custodians

One Selected Document Custodian to New Document Custodian

▲ Transfer Details

Status	Issuer	New Custodian	Effective Transfer Date MM/DD/YYYY
Declined by Custodian	3886 - CITIMORTGAGE, INC.	000545 - ALLEGIANCE BANK	12/24/2024

Reason for Transfer Request
Maximum 100 characters

test

▲ Selected Custodian(s)

Figure 63: Document Custodian Declined Request

Relinquishing Custodian

A relinquishing custodian is the custodian whose custodial duties are being transferred to another custodian.

Document Custodian Transfer Request Summary

The following screen displays a list of requests associated with the user that logged in. The New Custodian can:

- Filter or search for an existing request
- View DCTR requests, request detail
- Export requests/transferred pools
- Download Approval report

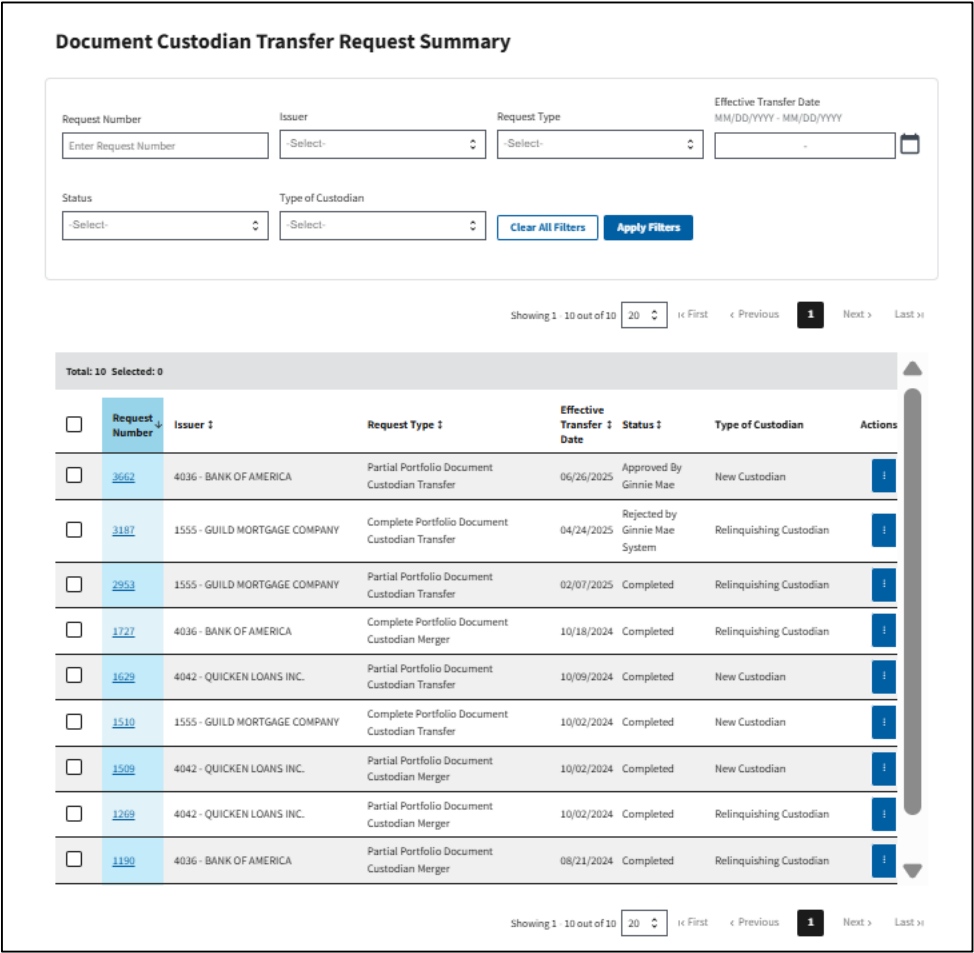


Figure 63: Document Custodian Transfer Request Summary



Filters

Document Custodians can filter or search for specific requests by selecting the following criteria:

- Request Number
- Issuer
- Request Type
- Effective Transfer Date
- Status
- Types of Custodian

After selecting the desired criteria, click the **Apply Filters** button to execute the search.

Document Custodian Transfer Request Summary

Request Number

Issuer

-Select-

Request Type

-Select-

Effective Transfer Date
MM/DD/YYYY - MM/DD/YYYY

-

Status

-Select-

Type of Custodian

-Select-

Clear All Filters

Apply Filters

Figure 64: Filter

Filters	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
Issuers	Issuer Name and Id.
Request Type	<p>Document Custodian Transfer Request Type. There are 4 request types in the drop-down menu.</p> <ol style="list-style-type: none">1. Complete Portfolio Document Custodian Merger: Complete Portfolio Document Custodian Merger is a request to merge the Issuer's entire Ginnie Mae MBS portfolio when a merger occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the newly designated Document Custodian.2. Partial Portfolio Document Custodian Merger: Partial Portfolio Document Custodian Merger a request to merge selected pools/loan packages of the Issuer's Ginnie Mae MBS Portfolio when a merger



Filters	Definition
	<p>occurs between one or more Document Custodian Corporate Institution(s). The existing entity's Document Custodian Identification Number will update as the new designated Document Custodian</p> <ol style="list-style-type: none"> Complete Portfolio Document Custodian Transfer: Complete Portfolio Document Custodian Transfer is a request to transfer the Issuer's entire Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a New Document Custodian. Partial Portfolio Document Custodian Transfer: Partial Portfolio Document Custodian Transfer is a request to transfer selected pools/loan packages from the Issuer's Ginnie Mae MBS portfolio from one or more active Document Custodian(s) to a New Document Custodian.
Transfer Effective Date	Transfer Effective Date range. Users can search for requests for specific Transfer Effective Date Range.
Status	<p>All Transfer Requests Statuses in the drop-down field:</p> <ol style="list-style-type: none"> Approved by Ginnie Mae: A Transfer/Merger request approved/acknowledged by the AE user. Pending Ginnie Mae Approval: A Transfer/Merger request has been acknowledged by Document Custodian. Declined by Custodian: A Transfer/Merger declined by a Document Custodian. Declined by Ginnie Mae: A Transfer/Merger declined by an Account Executive. Posted: A Transfer /Merger: A request submitted by an Issuer for DC Approval. Complete: Pools get transferred from 1 or multiple DCs to a new DC on the Transfer Effective Date. Rejected by Ginnie Mae System: A Transfer/Merger request is rejected by Mainframe system. The request was Approved by Ginnie Mae, however, was not processed in Mainframe due to some errors in the request.
Type of Custodians	<ol style="list-style-type: none"> New Custodian: The new custodian receives pools from the relinquishing custodian. Relinquishing Custodian: The relinquishing custodian's pools are transferred to the new custodian.
Clear Filters (Button)	Users can clear the filter and reset it to no search criteria selection.
Apply Filter (Button)	Users can apply filters after selecting one or multiple search criteria.



0 Document Custodian Transfer Request Filters

Requests Summary

The Requests Summary sections display all requests created by Issuers belonging to the relinquishing DC . The DC can open individual requests by clicking each request number. Each column can be sorted in ascending or descending order. Columns are defined as follows:

Field	Definition
Request Number	Unique request Ids assigned to a request during the request creation.
Issuer	Issuer ID and Name.
New Custodian	Document Custodian ID and name of new custodians.
Effective Transfer Date	Dates on which the request will be completed.
Status	Status for each request.
Business Rules	Request Business Rules validation status.
Actions	Actions that Issuers can take as per request. Refer to the Request Ellipses section for more information.

Table 0 Document Custodian Transfer Request Summary Columns

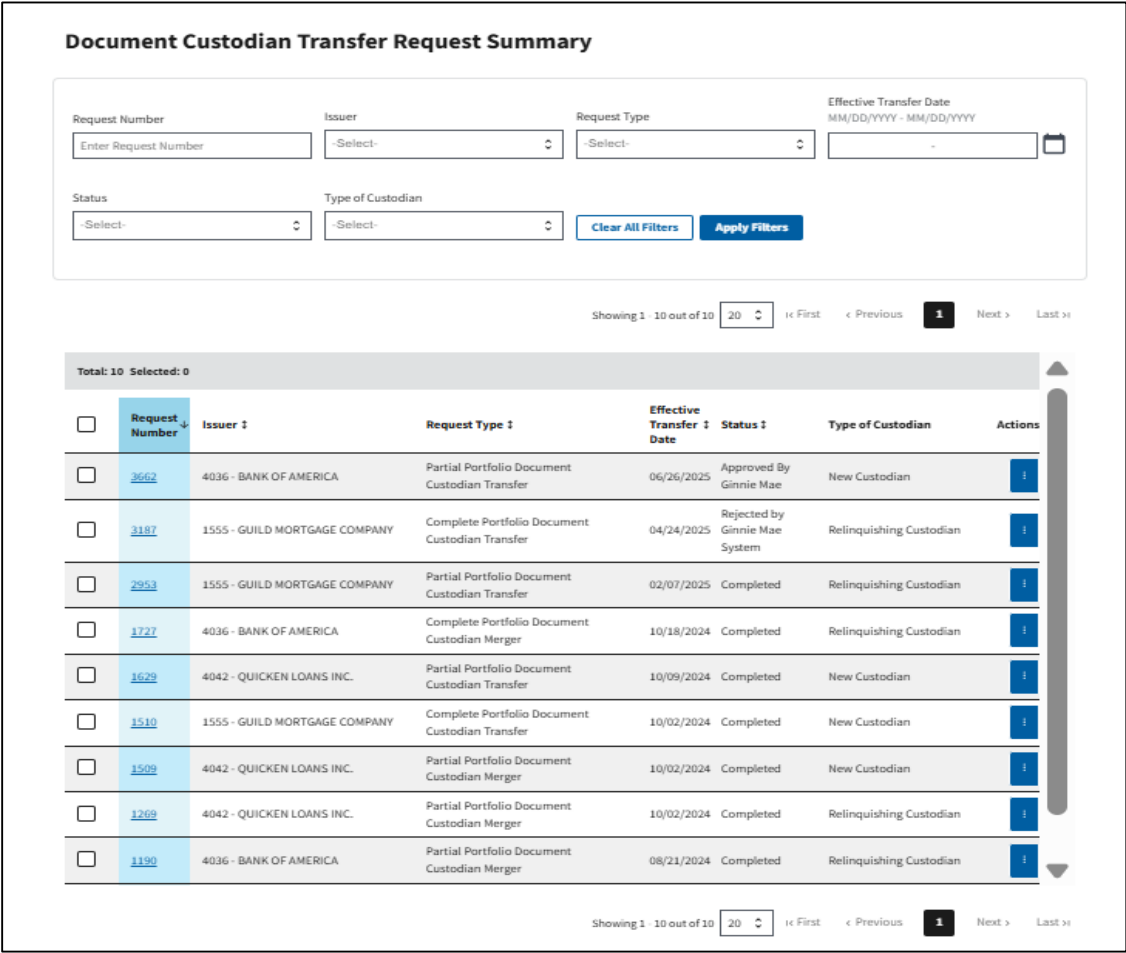


Figure 64: Request Summary



Filter

Document Custodians can filter or search for specific requests by selecting the following criteria:

- Request Number
- Issuer
- Request Type
- Effective Transfer Date
- Status

After selecting the desired criteria, click the **Apply Filters** button to execute the search.

Document Custodian Transfer Request Summary

Request Number

Issuer

-Select-

Request Type

-Select-

Effective Transfer Date
MM/DD/YYYY - MM/DD/YYYY

-

Status

-Select-

Type of Custodian


-Select-

Clear All Filters

Apply Filters

Figure 66: Filter

Request Ellipses

The Ellipses  are under the **Actions** column. A Relinquishing Custodian can take actions such export requests, view documents for all requests status.

Total: 6 Selected: 0							
<input type="checkbox"/>	Request Number	Issuer	Request Type	Effective Transfer Date	Status	Type of Custodian	Actions
<input type="checkbox"/>	3187	1555 - GUILD MORTGAGE COMPANY	Complete Portfolio Document Custodian Transfer	04/24/2025	Rejected by Ginnie Mae System	Relinquishing	<div>Export Docs</div>

Figure 67: Request Ellipses

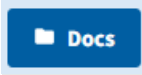


Below are the actions a Document Custodian can take with request status.

Request Status	Actions from Ellipses
Posted	Docs, Export
Pending Ginnie Mae Approval	Docs, Export
Approved by Ginnie Mae	Docs, Export
Declined by Custodian	Docs, Export
Declined by Ginnie Mae	Docs, Export
Completed	Docs, Export
Rejected by Ginnie Mae System	Docs, Export


5 REPORTING


Document Custodian Transfer Request Documents/Report


Users can access, generate and print Document Custodian Transfer Request Documents from the  icon in the request.

To generate the report:

1. Access the Document Custodian Transfer and Request landing screen.

2. Click the Ellipses  are under the **Actions** column.

3. Click  from the ellipse menu.
OR

4. Access an individual transfer the click the  icon.



The following documents can be accessed by the Issuer:

- **Request Summary:** Available once a request has been saved by an Issuer, this report contains a list of all the pools and their information that are part of the request. This document is accessible to the Issuer, New/Relinquishing Document Custodian, GNMA Users, and BNY Operations.
- **Approval Letter:** Available after the Account Executive user has approved, this report is accessible to the Issuers, New/Relinquishing Document Custodians, BNY Operations, and Account Executives.

6 GETTING HELP

This section provides information on how to search for information and resources to assist with their account, navigating the portal and its applications, and troubleshooting issues.

Refer to [MyGinnieMae Portal Getting Started Manual](#)

- Troubleshooting and System Errors

Field-Level Validation

The Document Custodian Transfer request has two ways to indicate that there is a problem with the data being entered:

1. Field-level : The border of the field will turn red and an error will display.
2. Field-level hover-over: The border of the field will turn red and an associated error message displays when the user hovers over the field.

Note: A field may have more than one message depending on the data entry error.



Please enter an indication for this Transfer/Merger in 100 characters

Field is required.

Reason for Transfer Request *

Maximum 100 characters

Please enter an indication for this Transfer/Merger in 100 characters



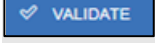
Please enter an indication for this Transfer/Merger in 100 characters

Field is required.

Figure 68 Field-Level Validation Examples



Validation Fatal Error

Once you select the  button from the Request Header menu, the system will verify the entire Document Custodian Transfer Request (field validation, valid Document Custodian, valid pools) against a list of Ginnie Mae business rules. If issues with the data are found, the system will display the associated errors at the top of the screen upon which the error originates. An example of request related errors are as follows:

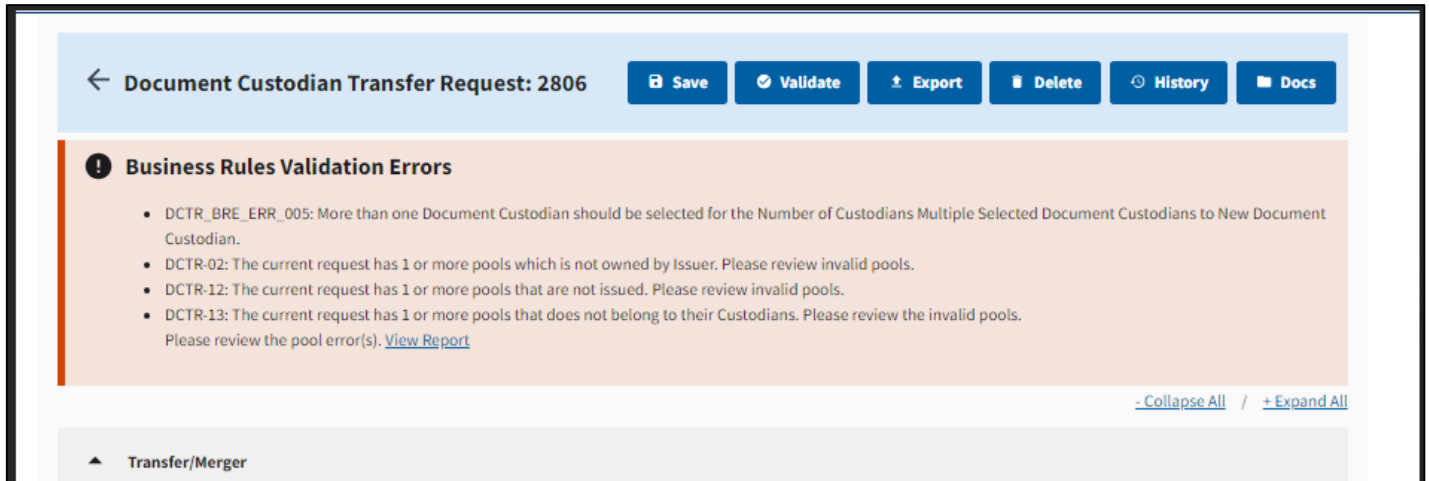


Figure 69: Validation Errors

Fatal error messages are displayed at the top of a request. These errors must be resolved before a request can be submitted to a Document Custodian for certification.



Date Selection Limitations

All date fields in Document Custodian Transfer Request provide the option to select a date from a date calendar widget. The date picker automatically only shows valid options.

The following is an example of a date picker limitation: Transfer Effective Date must be at least 10 business days from the request creation date and cannot be on a weekend or holiday (those days will be grayed out).

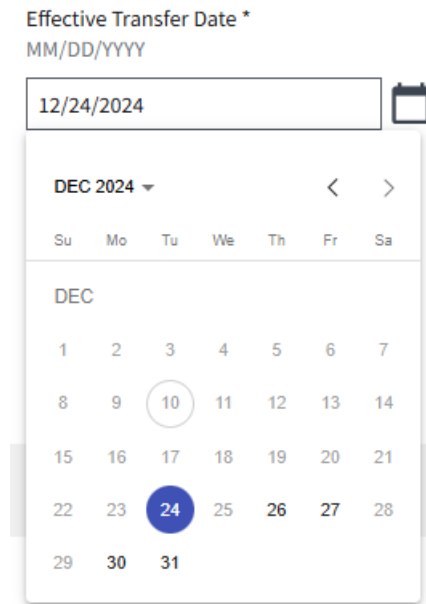
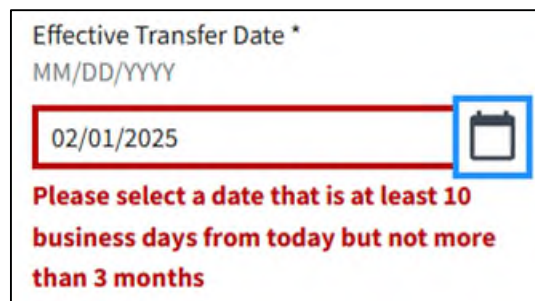


Figure 70: Date Calendar Limitation

You can also manually enter a date. If the date is not valid (at least 10 days from the creation date), an error will display.



Browser Back Button

While navigating the Document Custodian Transfer Request application, do not use the web- browser's back button because data may not be saved and any filters used may be cleared. Instead, use the back arrow buttons provided within the application.

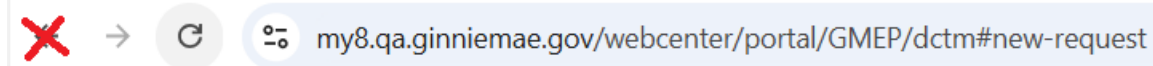


Figure 71: Browser Back Button

For example, when you are on the Request Detail screen header, the application back button will be displayed. It is recommended that you click this back button to return to the previous screen.

Document Custodian Transfer Request: 1062

[Export](#) [History](#) [Docs](#)

[- Collapse All](#) / [+ Expand All](#)

Transfer/Merger

Transfer/Merger *	Number of Custodians *
Complete Portfolio Document Custodian Merger	Multiple Selected Document Custodians to New Document Custodian

Transfer Details

Status	Validation Status	New Custodian *	Effective Transfer Date * MM/DD/YYYY
Completed	Passed	000545 - ALLEGIANCE BANK	08/13/2024

Reason for Transfer Request *
Maximum 100 characters

Request Transfer Automation Test

Figure 72: Application Back Button

Self-Help Tools

Users should first reference the appropriate section of the [My Ginnie Mae Getting Started Manual](#) for information on creating a User account, requesting functional roles, and managing your account. Some functions that a User may complete without the assistance of a system administrator are as follows:

- Changing a password every 90 days – [Changing a Password in MyGinnieMae QRC](#)
- Resetting a forgotten password – [Forgot Password in MyGinnieMae QRC](#)
- Updating profile information – [Managing My Profile in MyGinnieMae QRC](#)
- Registering for mobile delivery of the OTP – [Registering with the Oracle Mobile Authenticator QRC](#)



Easy reference tools like [Quick Reference Video \(QRV\)](#) and the Portal Help link at the bottom of each portal screen can be used to help answer common questions.

For more help, Users can access the training sessions and materials on the [Issuer Training Page](#) of the Ginnie Mae website at https://www.ginniemae.gov/issuers/issuer_training/screens/modernization.aspx.

MyGinnieMae Portal Dictionary

The MyGinnieMae Portal Dictionary is a reference resource for all portal users. The dictionary contains definitions for terms that provide clarification around portal screens, applications, processes, and general functionality pertaining to the MyGinnieMae portal. Refer to the [MyGinnieMae Portal Dictionary](#).

Application Acronyms and Abbreviations

Term	Definition
AE	Account Executive
DCTM	Document Custodian Transfer & Merger
DCTR	Document Custodian Transfer Request
DC	Document Custodian
GNMA	Governmental National Mortgage Association (Ginnie Mae)
MyGinnieMae	Ginnie Mae Portal that hosts Document Custodian Transfer Request Application